# **General Rules of Procedures and Practices**

# The Board

#### §661.1. Name.

The name of the board shall be Texas Board of Professional Land Surveying. For the purpose of brevity in succeeding rules this organization shall be subsequently referred to as the board.

#### §661.2. Headquarters.

The headquarters of the board shall be in Austin.

#### §661.3. Chair.

The chair shall, when present, preside at all meetings, except as otherwise provided herein. The chair shall appoint such committees as the board may authorize from time to time. The chair shall sign all certificates.

#### §661.4. Vice Chair.

The vice chair may in the absence or incapacity of the chair exercise the duties and may possess all the powers of the chair, as permitted by law.

#### §661.5. Executive Director.

The executive director shall conduct and care for all correspondence in the name of the board. The executive director shall maintain all records prescribed by law. The executive director shall keep a record of all meetings and maintain a proper account of all business of the board. The executive director shall be the custodian of the official seal and affix same to all certificates and other official documents upon the orders of the board. The executive director shall check and certify all bills and check all vouchers (claims) and shall approve same, if appropriate, and shall perform such other duties as directed by the board. The board shall furnish the executive director the necessary equipment, supplies, and assistance, paying for same directly on vouchers (claims) handled as prescribed herein and by law.

#### §661.7. Executive Committee.

The executive committee may consist of three members of the board. Its duties shall be to transact all business instructed by the board, during the intervals between board meetings, and to report thereon to the board at its meetings. It shall also recommend to the board such actions in respect to policies and procedures as it may consider desirable.

# §661.8. Standing Committees.

For the purpose of administering examinations there shall be two standing committees.

- (1) The Licensed State Land Surveyors Committee shall prepare, administer, and grade the licensed state land surveyors examination. This committee shall be made up of the commissioner or his/her authorized representative and all of the licensed state land surveyors on the board. A quorum shall be a majority of the committee members.
- (2) The Registered Professional Land Surveyors Committee shall attend to the preparation and grading of the registered professional land surveying examination. This committee shall be made up of all the members of the board. A quorum shall be a majority of the committee members.

# §661.9. Special Committees.

Special committees shall have such duties as may be assigned by the chair of the board, with the consent of the board.

# §661.10. Financial.

- (a) Payment of all salaries and other approved operating expenses of the board shall be made by itemized vouchers (claims). Such vouchers (claims) shall be approved by the executive director of the board. The executive director shall maintain complete records of the financial transactions of the board as prescribed by the state comptroller and by law.
- (b) Pursuant to the requirements of §2161.003 of the Government Code, the Texas Board of Professional Land Surveying adopts the rules of the Comptroller of Public Accounts relating to the Historically Underutilized Business (HUB) Program and stated at 34 Texas Administrative Code Part 1, Chapter 20, Subchapter B, §§20.11 20.16.

# **§661.11. Vacancies.**

If for any reason, a vacancy shall occur in the board, the chair may call a special meeting for the purpose of preparing a notice to the governor asking for the appointment of a new member to fill the unexpired term. If the vacancy shall occur in the office of the chair, the vice chair may call the meeting.

# Meetings

# §661.23. Notice of Meetings.

Notice of meetings shall be published and posted in compliance with law. Notice of all meetings shall be mailed out by the executive director to each member at his/her last known address at least one week prior to said meeting.

# §661.24. Proceedings.

Robert's Rules of Order shall govern the proceedings of the board except as otherwise provided herein or by statute.

# **Definitions of Terms**

# §661.31. Definitions.

The following words and terms, when used in this chapter, shall have the following meanings, unless the context clearly indicates otherwise.

- (1) **Act**--The Professional Land Surveying Practices Act and Amendment.
- (2) **Certificate of registration and certificate of licensure**--A license to practice professional surveying in Texas. A certificate of licensure is a license to practice state land surveying in Texas.
- (3) **Contested case**--A proceeding, including, but not restricted to, ratemaking and licensing, in which the legal rights, duties, or privileges of a party are to be determined by the board after an opportunity for adjudicative hearing.
- (4) **Renewal**--The payment of a fee annually as set by the board within the limits of the law for the certificate of registration or the certificate of licensure.
- (5) **Rule**--Any board statement of general applicability that implements, interprets, or prescribes law or policy, or describes the procedure or practice requirements of the board. The term includes the amendment or repeal of a prior rule but does not include statements concerning only the internal management or organization of the board and not affecting the private rights or procedures.
- (6) **Seal**--The seal of the board shall be as authorized by the board.

#### §661.33. Easements and Construction Estimates.

- (a) An easement legal description or plat depiction which is used in a real property conveyance or filed for recording in the real property records or plats records of this state must be prepared by a registrant, except when all of the following conditions listed in paragraphs (1) (3) of this subsection are satisfied:
  - (1) the easement area can be clearly ascertained by the general public without reference to a metes and bounds description of the easement;
  - (2) monumentation is not placed on the ground; and
  - (3) the easement does not bisect or protrude into the tract (leaving non-easement areas on opposite sides of the easement strip).
- (b) An easement's legal description or plat depiction meets the requirements of the exception to this rule when the easement:
  - (1) is a blanket easement; or
  - (2) the easement:
    - (A) is within a tract or lot depicted in a recorded subdivision plat;
    - (B) can be clearly defined and located without a metes and bounds description; and
    - (C) is adjacent to a platted boundary line.
- (c) A "construction estimate", as used in §1071.004 of the Act, means a depiction of a possible easement route for planning purposes.

# Applications, Examinations, and Licensing

# §661.41. Applications.

(a) An applicant qualified by law who wishes to take an examination for certification or for registration to practice professional land surveying and/or state land surveying in Texas shall be furnished duplicate application forms, one to be returned to the office of the board, the other to be retained by the applicant. Applications received by the board shall be examined by the executive director for conformity with the rules and regulations governing applications as established by the board. Applications accompanied by proper fees and in the form prescribed by the board shall be entered in the records of the board. Applications not accompanied by proper fees or not conforming with the rules

and regulations shall be returned to the applicant. Each applicant shall be required to furnish all information requested on the application form. The application form shall contain general information regarding the applicant, a recent passport type photograph, other registration and memberships, references and qualifications, formal education information with certified transcripts of college work, personal surveying experience, and instructions for filing the form.

- (b) The application shall be neatly typed or lettered and all questions must be answered. If the answer is negative, the applicant shall use the word "no" or "none." It is the applicant's responsibility to see that certified transcripts of college work and any other information required or requested by the board are received in the office of the board on or before July 15 or January 15 in order for the applicant's file to be considered for the ensuing examination. Experience time will be counted only up to the date of the filing of the application with fee. Applications will not be considered if essential information is lacking.
  - (1) It is important that the experience record of the applicant be completed in detail giving character of work performed, particularly with respect to percentage of time engaged in boundary land surveying as opposed to engineering surveying, title of position, employer, amount of time, and responsibility in each engagement listed. Experience in responsible charge will be counted only if under the direct supervision of a registered professional land surveyor. Give total time in actual land boundary surveying in each engagement. If the space provided in the forms is not sufficient, the applicant may attach as many sheets as necessary. If the experience is of the character that it cannot be described properly in the tabulated form, the applicant may submit a complete narrative account of his/her education, professional, or business career.
  - (2) Accompanying this application shall be two sample survey reports (sketch, map or plat) completed under the direction of a Registered Professional Land Surveyor. Submissions should be paper copies and also digital copies on a CD, DVD, or USB accessible medium. Each survey report should be on a single piece of paper not to exceed 24" x 36". The digital copy should be in .pdf or similar format. Each survey report should include a certification and a list of all documents reviewed in preparation of the survey. However, a signature and seal are not necessary. One survey should be an urban type survey (residential or commercial platted property) with the other being a rural type survey (metes and bounds). Each report will be evaluated for compliance with the existing Act and Rules.
  - (3) All documents filed with the application shall remain in the permanent files of the board.

- (c) Application files are considered initiated the date the application is received with fee. If an application is not received within 90 days after date of receipt of reference forms and required information, that file will be closed and the applicant so notified at his/her last known address. If the applicant does not take the examination within one year from the date the application is approved, the file will be closed, and for further consideration by the board, the applicant will be required to file a complete new application with fee and references.
- (d) No credit will be considered for experience obtained in violation of the Professional Land Surveying Practices Act or any applicable prior Act governing the surveying profession. Only that experience obtained in regular full-time employment, or as otherwise specifically allowed in the act and rules, will be considered in evaluating an applicant's record.
- (e) Certificate Requirements for Surveyors-In-Training in Other States, Territories or Possessions of the United States. An individual is eligible to be certified as a surveyor-in-training in Texas upon:
  - (1) successfully passing the National Council of Examiners for Engineering and Surveying (NCEES) fundamentals of land surveying exam; and
  - (2) obtaining certification as a surveyor-in-training by a state, territory or possession of the United States other than Texas.
- (f) The Texas certification as a surveyor-in-training is valid for eight years from the date the surveyor-in-training certificate was issued by the original issuing state, territory or possession of the United States.
- (g) The board will recognize degrees conferred by the Accreditation Board for Engineering and Technology (ABET), the Southern Association of Colleges (SAC) and the Applied Science Accreditation Commission (ASAC) or their equivalent.
- (h) Degrees not accredited by ABET/SAC/ASAC must be evaluated by an organization approved by the Board and shall be done at the expense of the applicant. The board will consider recognizing degrees on a case by case basis upon submission of the evaluation.
- (i) All foreign language documentation submitted must be accompanied by certified translations.
- (j) Applicants must speak and write the English language. Proficiency in English may be evidenced by possession of an accredited bachelor degree taught exclusively in English, or passage of the Test of English as a Foreign Language (TOEFL) with a score of at least 550 and passage of the Test of Spoken English

(TSE) with a score of at least 45, or other evidence such as significant academic or work experience in English acceptable to the board.

#### §661.42. Fees.

- (a) All fees are payable by cashier's check or money order and are not refundable.
- (b) In addition to the application fee, an examination fee not to exceed the examination cost and fees for administering the exam is required.
- (c) New registrants will be required to pay a prorated part of the annual licensing fee according to their date of registration or licensure.
- (d) In compliance with the Open Records Act, the Texas Board of Professional Land Surveyors will recover the costs of providing copies of public information according to the following guidelines:
  - (1) For readily available information the following charges will be used:
    - (A) standard-size paper copy--\$.10 per page;
    - (B) diskette--\$1.00 each;
    - (C) personnel charge--\$19 per hour;
    - (D) overhead charge--20% of personnel charge;
    - (E) computer resource charge--actual cost;
    - (F) programming time charge--actual cost;
    - (G) miscellaneous supplies--actual cost;
    - (H) postage and shipping charge--actual cost;
    - (I) fax charge:
      - (i) local--\$.10 per page;
      - (ii) long distance (same area code)--\$.50 per page;
      - (iii) long distance (different area code)--\$1.00 per page; and
    - (J) other cost--actual cost.

- (2) Information that is not readily available will be subject to the cost outlined in paragraph (1) of this subsection, plus any necessary document retrieval charges.
- (3) A deposit may be required if the amount of estimated charges exceeds \$100.
- (4) Records can be furnished without charge or at reduced charge if it is determined that waiver or reduction is in the public interest.

# §661.43. References.

- (a) All references shall be chosen carefully for their personal knowledge of the applicant's experience and qualifications. All applicants shall submit to the board the names and complete addresses, including zip codes, of not less than three references unrelated to the applicant. All such references shall be registered or licensed surveyors and have personal knowledge of the applicant's surveying experience and qualifications.
- (b) No member of the board will be accepted as a reference unless the board member is the registered professional land surveyor with the most knowledge of the applicant's experience. The board prefers that when an applicant is employed by an organization that includes registered professional land surveyors, the applicant use only one reference from a registered professional land surveyor who is associated with him in such organization. The board reserves the right to ask for additional references.

#### §661.44. Rejections.

Should the board reject the application of any applicant, the fee accompanying the application will be retained by the board. If an application is rejected for any reason, the applicant will be notified by first class mail. The applicant may thereafter file with the board any further evidence or reason to support a claim for reconsideration on or before the next application deadline date (§661.41(b) of this title relating to Applications), either July 15 or January 15. It is the policy and intention of the board to give a rejected applicant every reasonable opportunity to support a claim for reconsideration and to consider such evidence as may have been omitted from or overlooked in the original application. An applicant may timely apply for a hearing pursuant to Title 2, Occupations Code, Chapter 53.

# §661.45. Examinations.

(a) Registered professional land surveyor examinations shall be written and so designed to aid the board in determining the applicant's knowledge of surveying, mathematics, surveying laws, and his/her general fitness to practice the profession

as outlined in the Professional Land Surveying Practices Act. The applicant will be notified at least 10 days in advance of the date, time, duration and place of the examination. If an applicant fails to appear for two successive examinations, the applicant's file will be closed and will not be reopened without the filing of a new application and fee.

- (b) Only Board approved calculators will be permitted for use during examinations. No communication/imaging device of any type will be permitted, including but not limited to pagers, pocket PCs, scanners, texting devices and cellular phones. Devices or materials that might compromise the security of the examination or the examination process are not permitted in the examination room.
- (c) An applicant repeating the examination will be required to repeat only those portions of the examination on which the applicant made less than a passing grade.
- (d) Licensed state land surveyors' examinations shall be written and so designed to test the applicant's knowledge of the history, files, and functions of the General Land Office, survey construction, legal aspects pertaining to state interest in vacancies, excesses, and unpatented lands, and familiarity with other state interests in surface and subsurface rights as covered by existing law.
- (e) The licensed state land surveyor examination will be in two four-hour sections and each part graded independently. If an applicant fails either part, that applicant will be required to file an updated application with fee and repeat the entire examination.
- (f) The contents of all examination materials are confidential. Any registrant and/or applicant who takes an action with the intent to compromise the confidentiality of the examination is subject to disciplinary sanction, administrative penalties, or both. Each candidate will be required to sign a statement that they will neither copy nor divulge any examination problem or solution, and that any violation thereof will be sufficient grounds for invalidating the candidate's examination. In assessing an appropriate penalty or sanction, the Board may do any one or more of the following:
  - (1) impose the penalties and sanctions set out in The Act;
  - (2) disqualifying the applicant from taking future examinations for a period of three years;
  - disqualifying the applicant from taking future examinations until the applicant successfully completes a Board-approved study of professional ethics;

- (4) disqualify the applicant from further consideration for certification or registration;
- (5) invalidate the candidate's examination.
- (g) Examination candidates who have been called into active U.S. military duty or who are re-assigned military personnel and will not be available to sit for an examination may request the examination cycle be postponed and any paid examination fees encumbered toward a future examination date. Such candidates shall submit adequate documentation, including copies of orders, and a request to postpone the examination to the Board. The candidate shall notify the Board of their availability to resume the examination cycle within 60 days of release from active duty or when they are deployed to a location that will proctor the examination.
- (h) Beginning January 1, 2011, any applicant who is unsuccessful in three attempts to pass any part of a SIT or RPLS examination shall not have an application approved for a subsequent taking of the same examination for a period of one year from the date of notice of failure of the third exam. Applications submitted subsequent to the one year waiting period shall include documented evidence satisfactory to the Board that the applicant has acquired additional education and experience indicative that the applicant would better be able to pass a subsequent examination. This rule applies to all SIT and RPLS examinations administered by the Board, both past and future.

#### §661.46. Seal and Stamps.

At the time the applicant receives a certificate of registration/licensure, the applicant will also be instructed to secure an impression seal of the type specified by the board. As soon as the registrant has secured an impression seal, the registrant shall make an imprint thereof and shall forward said imprint to the board for its files. A rubber stamp is not considered an impression seal, but may be used at the discretion of the licensee for the purpose of this rule. A rubber stamp signature is not permitted. A registrant or licensee may place their seal and signature on electronic data at the surveyor's discretion, provided that a hard copy form is signed, sealed and retained by the surveyor and carries an original signature and seal.

### §661.47. Reciprocal Registration.

- (a) Applicants applying for reciprocal registration under the Professional Land Surveying Practices Act (the Act), §1071.259, shall file with the board application forms as described in these rules and such other forms as required by the board.
- (b) The board shall determine whether the licensing standards of the governmental authority under which the reciprocal applicant is licensed are substantially equivalent to those standards required in the State of Texas.

- (c) If the board determines that such standards are not substantially equivalent, the board may require the reciprocal applicant to take and pass all or any part of the 16-hour examination required for applicants under the Act, §1071.251-254.
- (d) Any cost for administering a reciprocal examination for this board by another state will be at the expense of the applicant.

# §661.50. Surveyor Intern (SI) Experience Requirements.

The following rules are to be used in evaluating the two years of experience (although some forms provided by the Board may allow an experience breakdown in hours, it is the intent of the Board that the required experience be obtained over a minimum time period of two calendar years) required for the Surveyor in Training, hereinafter referred to as Survey Intern (SI), under the direct supervision of a designated registered professional land surveyor (RPLS) acceptable to the Board:

- (1) All experience must be obtained under the direction and guidance of one or more registered professional land surveyors designated by the SI. The Board will be notified in writing of the name or names of the designated RPLS prior to the beginning of the internship. If during the internship any designated RPLS changes, the SI must notify the Board that a new RPLS has been designated by the SI and the date of change.
- The TWO years of experience are to be obtained in the area of boundary surveying and boundary determination only. This MINIMUM of two years begins with the date the applicant passes the National Council of Examiners for Engineering and Surveying (NCEES) fundamentals of land surveying portion of the examination. Since only boundary related surveying experience will be accepted, the actual time to complete the internship may take longer than two calendar years. Adequate documentation of the conditions of employment as well as the experience gained therein will be required. Regardless of the total number of acceptable hours of experience gained in this manner, a minimum total time of 4,000 hours of experience extended over a minimum of two calendar years will still be required.
- (3) The required experience is divided into TWO possible types of experience which are as follows:
  - (A) Office experience: (one-year minimum). The required office experience will consist of at least three months of acceptable experience within each of the following categories, herein referred to as "acceptable office experience" for a MINIMUM of ONE year:
    - (i) research of county records and records search;

- (ii) legal principles, boundary reconciliation, and deed sketches;
- (iii) computations/traverse accuracy analysis;
- (iv) documentation/description/monumentation/preparing final surveys. A detailed outline of the SI's required experience will be furnished to the board by the SI. All two years of the experience requirement may be obtained as office experience.
- (B) Field experience. The remaining acceptable experience, if not within the previously listed office experience categories, must be within the categories following:
  - (i) field accuracies and tolerances;
  - (ii) field traverse notes;
  - (iii) monument search based on deed sketches.
- (4) The SI is solely responsible for the documentation necessary to verify the acceptable completion of the required experience. The board will furnish a form which will be completed by the SI and signed by both the SI and the designated RPLS for verification. This form will require the SI to describe the specific experience that he/she has obtained during the internship within the categories listed in paragraph (3)(A) of this section. In addition, the SI is to keep a log of the boundary surveying projects and the specific experience obtained for each project.
- (5) The SI must notify the designated RPLS in writing that the SI will be using the RPLS for verification of the required experience.
- (6) The designated RPLS will agree in writing to the board to provide the required experience for the SI and to provide the required supervision and experience verification.
- (7) The designated RPLS will conduct periodic reviews of the SI's performance so that any problems with the required experience can be corrected prior to completion of the time period.
- (8) Only ONE RPLS is required to be designated for the two-year period if all the experience is obtained under that RPLS. Additional RPLSs will not be required unless the direct supervision of the SI changes during the period or the SI is under several RPLSs' supervision.
- (9) The SI's experience requirements listed previously will be required for all the SIs who pass the NCEES fundamentals of land surveying portion of the examination on or after January 1, 1993.

# §661.51. Surveyor-In-Training Education Requirement.

- (a) As a condition for retaining a Surveyor-In-Training (SIT) certificate during the eight year period of working towards completion of registration, the certificate holder must complete professional education activities.
- (b) Professional education activities include successful completion of courses in areas supporting development of skill and competence in professional land surveying; participating in programs, seminars, workshops or conferences which provide increased professional knowledge related to the practice of professional land surveying and other continuing education activities which are approved by the Board.
- (c) At the end of the eight year period if the certificate holder has not successfully completed registration but wishes to maintain the SIT certification, the Board will require written proof of completion of at least 32 hours of acceptable continuing education during the eight year period as set out in subsection (b) of this section. The certificate can then be renewed on a yearly basis. As a condition for renewal of an SIT certificate, the board shall require a certificate holder to successfully complete eight hours of continuing professional education courses per year and compliance with Chapter 664 of this title, relating to Continuing Education.

#### §661.52. Inactive Status.

- (a) A Surveyor whose registration is in good standing may apply for Inactive registration status on a form prescribed by the Board.
- (b) An Inactive Surveyor may not practice professional surveying. If an Inactive Surveyor engages in the practice of professional surveying, the Inactive Surveyor's registration may be suspended or revoked and may be fined as allowed by the Professional Land Surveying Practices Act.
- (c) An Inactive Surveyor shall not use their seal during any period that the registration is Inactive.
- (d) An Inactive Surveyor shall pay an annual fee as prescribed by the Board.
- (e) In order to return the registration to active status, an Inactive Surveyor who has been on Inactive Status for less than one year must meet the following requirements.
  - (1) The Surveyor must apply on a form prescribed by the Board. The Board will review the form. After receipt of a complete application, the Board will make a decision on the application at its next scheduled meeting.

- (2) The Surveyor must pay the full renewal fee as prescribed by the Board.
- (3) The Surveyor must fulfill the continuing professional educational requirement as specified in the Act.
- (4) Once the application, fee, and proof of continuing professional education have been approved by the Board, the registration will be Active.
- (f) An Inactive Surveyor whose registration has been Inactive for a continuous period of one year or more must meet the following requirements.
  - (1) The Surveyor must apply on a form prescribed by the Board.
  - (2) The Surveyor must pay the full renewal fee as prescribed by the Board.
  - (3) The Surveyor must fulfill the continuing professional educational requirement for the current year as specified in the Act.
  - (4) Once the application, fee, and proof of continuing professional education have been received in the office of the Board, the registration will be Active.
- (g) The Board may require that an applicant submit a verification of compliance with the laws as required by statute as part of the application.

#### §661.53. Active Duty Military.

Registrants are exempt from any increased fee or other penalty for failing to renew the license in a timely manner if the individual establishes to the satisfaction of the Board (copies of orders) that the individual failed to renew the license in a timely manner because the individual was on active duty in the United States armed forces serving outside Texas.

#### §661.55. Surveying Firms Registration.

- (a) An association, partnership, corporation or other business entity (firm) may register to offer surveying services by having a firm principal file an application with the Board. The application form will identify:
  - (1) the business and legal names and addresses of the firm;
  - (2) the name of the owner, partial owner or managing partner who is the responsible party at each location from which the surveying services are offered and;

- (3) the names and license numbers of all persons registered or licensed under this Act employed by the firm at each location from which surveying services are offered.
- (b) A person registered or licensed under the Act shall ensure that any firm employing them complies with all applicable board rules including the filing requirements set forth in subsection (a) of this section.
- (c) A person registered or licensed under the Act and employed by a firm shall notify the Board in written form within five (5) business days prior to leaving employment or no later than five (5) business days after leaving employment. The firm must notify the board in written form within five (5) business days of any change of employment of a registered professional land surveyor (RPLS). If unemployment of the RPLS is due to a hardship, death, accident or serious illness, the firm may continue to offer surveying services during a transition period of not more than 3 months provided the circumstance is approved by the Executive Director with consent of the board executive committee. Consent shall be based on the involvement and oversight of a licensed RPLS in the provision of surveying services.
- (d) The board may refuse to issue or renew and may suspend or revoke the registration of any firm and may impose an administrative penalty against the owner of a firm for a violation of this chapter by an employee, agent, or other representative of the firm, including a registered professional land surveyor employed by the entity at the time of the violation. A FIRM REGISTRATION IS NOT TRANSFERABLE WITHOUT MEETING THE REQUIREMENTS IN SUBSECTION (a) OF THIS SECTION.
- (e) Any firm furnishing contract land surveying crews to persons, associations, partnerships or corporations not licensed or registered under this act must have a registered professional land surveyor as a full-time employee in that firm as reflected in its registration form filed with the board.
- (f) A nonrefundable fee, as established by the Board, will be submitted with the registration form.
- (g) No firm employee other than the RPLS shall affix an RPLS seal and/or the RPLS signature to any Survey document.
- (h) A full-time employee is an individual employed by a company in an on-going position with a minimum of 35 scheduled work hours per week, 52 weeks per year.
- (i) The Board may refer to the Texas Attorney General for appropriate action any person registered or licensed under the Act or any association, partnership, or corporation offering surveying services that fails to comply with this section.

# §661.56. Surveying Firms Renewal and Expiration.

- (a) The certificate of registration shall be valid until December 31 of the year registered. At least one month in advance of the date of the expiration, the Board shall notify each firm holding a certificate of registration of the date of the expiration and the amount of the fee that shall be required for its renewal for one year. The renewal notice shall be mailed to the last address provided by the firm to the Board. The certificate of registration may be renewed by completing the renewal application and paying the annual registration renewal fee set by the Board. It is the sole responsibility of the firm to pay the required renewal fee prior to the expiration date, regardless of whether the renewal notice is received.
- (b) A certificate of registration which has been expired for less than one year may be renewed by completing the renewal statement sent by the Board and payment of two (2) times the normal renewal fee. When renewing an expired certificate of registration, the authorized official of the firm shall submit a written statement of whether surveying services were offered, pending, or performed for the public in Texas during the time the certificate of registration was expired.
- (c) If a certificate of registration has been expired for more than one year, the firm must re-apply for certification under the laws and rules in effect at the time of the new application and shall be issued a new certificate of registration firm number if the new application is approved.
- (d) The renewal fee will not be refundable.

#### §661.57. Surveying Firms Compliance.

- (a) Any firm or other business entity shall not offer or perform surveying services to the public unless registered with the board pursuant to the requirements of §661.55 of this title (relating to Survey Firm Registration).
- (b) A firm shall provide that at least one full-time active license holder is employed with the entity and that the active license holder performs or directly supervises all surveying work and activities that require a license that is performed in the primary or branch office(s).
- (c) An active license holder who is a sole practitioner shall satisfy the requirement of the regular, full-time employee.
- (d) No surveying services are to be offered to or performed for the public in Texas by a firm while that firm does not have a current certificate of registration.
- (e) A business entity that offers or is engaged in the practice of surveying in Texas and is not registered with the board or has previously been registered with the board and whose registration has expired shall be considered to be in violation of

the Act and board rules and will be subject to administrative penalties as set forth in §1071.451 and §1071.452 of the Act and §661.99 of this title (relating to Sanctions and Penalty Matrix).

- (f) The board may revoke a certificate of registration that was obtained in violation of the Act and/or board rules including, but not limited to, fraudulent or misleading information submitted in the application or lack of employee relationship with the designated professional surveyor for the firm.
- (g) If a firm has notified the board that it is no longer offering or performing surveying services to the public, including the absence of a regular, full-time employee who is an active professional surveyor licensed in Texas, the certificate of registration will expire.
- (h) In addition to any other penalty provided in this section, the Board shall have the power to fine, refuse to issue or renew and/or revoke the registration of a business entity where one or more of its officers, directors, partners, members, or managers have been found guilty of any conduct which would constitute a violation of the Board's Act or Rules.

# §661.58. Texas Guaranteed Student Loan Corporation Defaulters.

- (a) In accordance with the Texas Education Code, §57.491, holders of licenses as defined in that section who have been identified by the Texas Guaranteed Student Loan Corporation (TGSLC) as student loan defaulters are precluded from having their license renewed unless:
  - (1) the renewal is the first renewal following the board's receipt of the list including the licensee's name among those in default; or
  - (2) the licensee presents to the board a certificate issued by the TGSLC certifying that:
    - (A) the licensee has entered a repayment agreement on the defaulted loan; or
    - (B) the licensee is not in default on a loan guaranteed by the TGSLC.
- (b) Whenever the board has been notified by the TGSLC that a licensee is in default on a student loan the board shall notify the licensee by certified mail of its intention not to renew his/her license upon the license's expiration. The licensee may, in writing within 30 days of receipt of the proposed action, request a hearing. In the absence of such a written request for a hearing the proposed intention not to renew will become final upon informal disposition, pursuant to Title 2, Occupations Code, Chapter 53.
- (c) Once the board has received a certificate issued by the TGSLC that:

- (1) the licensee has entered a repayment agreement on the defaulted loan; or
- (2) the licensee is not in default on a loan guaranteed by the TGSLC, the licensee may apply for his/her license renewal subject to all other requirements for renewal.

## **Contested Cases**

# §661.60. Responsibility to the Board.

- (a) A registrant/licensee/SIT/firm whose registration/license/certification is current or has expired but is renewable under the Texas Professional Land Surveying Practices Act and Board rules, is subject to all provisions of the Act and Board rules. A registrant/licensee/SIT/firm shall respond fully and truthfully to all Board inquiries and furnish all maps, plats, surveys or other information or documentation requested by the Board within 30 days of such registrant's, licensee's, SIT's or firm's receipt of a Board inquiry or request concerning matters under the jurisdiction of the Board. An inquiry or request shall be deemed received on the earlier of:
  - (1) the date actually received as reflected by a delivery receipt from the United States Postal Service or a private courier or
  - (2) two days after the Board request or inquiry is deposited in a postage paid envelope in the United States Mail addressed to the registrant, licensee, SIT or firm at his/her last address reflected on the records of the Board.
- (b) Any registrant, licensee, SIT or firm subject to Board decisions or orders shall fully comply with the final decisions and orders within any time periods which might be specified in such decisions or orders. Failure to timely, fully and truthfully respond to Board inquiries, failure to furnish requested information, or failure to timely and fully comply with Board decisions and orders, shall constitute separate offenses or misconduct subject to such penalties as may be imposed by the Board as provided under the Act.
- (c) The registrant/licensee/SIT/firm is required to cooperate with all investigations of the board, including but not limited to site inspections, records review and allowing interviews with employees regarding compliance with the Act and Rules.

# §661.62. Complaint Process.

- (a) All complaints and requests for hearings shall be filed with the executive director.
- (b) Filing of Complaints.

- (1) Complaints may be submitted on complaint forms provided by the board or complaints may be submitted in a written format that includes the following information that is reasonably available to the complainant:
  - (A) name, address and phone number of complainant and respondent (i.e. person charged with alleged violation);
  - (B) nature and description of the complaint;
  - (C) copies of factual evidence and other information that supports the complaint;
  - (D) names and addresses of witnesses; and
  - (E) signature of complainant recognizing the serious nature of the complaint process and consequences of falsifying a government document.
- (2) All signed complaints filed will be investigated. Anonymous complaints will be investigated if witnesses or other evidence clearly supports a credible or factual foundation.
- (3) Withdrawal of a complaint may not impact an on-going investigation.
- (c) Investigations.
  - (1) The board will hire an investigator or contract with an investigator to investigate complaints.
  - (2) Upon receipt of a complaint, the respondent shall receive a copy of the complaint and have an opportunity to respond.
  - (3) If investigation fails to substantiate violations of the Act or Board Rules the complaint will be dismissed by the executive director and the board notified at the next scheduled meeting after dismissal.
  - (4) The person making a complaint that is dismissed may request reconsideration of the dismissal by sending a written request for such within 20 days of receipt of the notice of dismissal.
  - (5) The investigator may make initial determination of violations.
  - (6) The investigator may recommend sanctions to the executive director.
  - (7) The executive director may recommend an administrative penalty.

- (8) The board will not consider a previously dismissed complaint.
- (d) Determination of Violations. If the executive director finds that a violation of the board's Act or Rules has occurred, the executive director shall send notice, within 20 days, to both the respondent and the board outlining the violation and recommending an administrative penalty and/or sanction and/or restitution. In determining the amount of the recommended penalty, the executive director shall consider items identified in Section 1071.452(b) of The Professional Land Surveying Practices Act.
- (e) Request for Administrative Hearing.
  - (1) A respondent who is the subject of proposed administrative action by the executive director may appeal the executive director's determination by requesting a contested case hearing or an Informal Settlement Conference as provided herein within 20 business days of receiving notice of the violation. The request must be in a written form that references the complaint number and indicates that the respondent intends to request a contested case hearing. Upon receipt of the request for hearing, the executive director will set a hearing and provide a copy of the complaint and notice of the hearing to the respondent.
  - (2) If the respondent fails to request an administrative hearing within 20 days of receiving the notice of violation report, the respondent will be subject to a default order and the Board will set the matter for a hearing on the proposed default order as well as provide notice of the hearing on the proposed default order to the respondent.
  - (3) The Complaint and Notice of Hearing shall be sent to the respondent by registered or certified mail, addressed to the respondent at his/her most recent address as shown in the records of the board. Service of the Complaint and Notice of Hearing shall be completed at the time the notice is deposited, postage-paid and properly addressed in a post office or official depository of the United States Postal Service.
  - (4) If a respondent fails to appear in person or by legal representative on the day and at the time set for hearing, regardless of whether an appearance has been entered, the Administrative Law Judge, upon motion by the petitioner, shall enter a default judgment in the matter adverse to the respondent who has failed to attend the hearing.
  - (5) For purposes of this section, default judgment shall mean the issuance of a proposal for decision against the respondent in which the factual allegations against the respondent contained in the Complaint shall be admitted as prima-facie evidence and deemed admitted as true, without any requirement for additional proof to be submitted by the petitioner.

- (6) Any default judgment granted under this section will be entered on the basis of the factual allegations contained in the Complaint and upon the proof of proper notice to the defaulting party opponent. For purposes of this section, proper notice means notice sufficient to meet the provisions of the Government Code §§2001.051, 2001.052 and 2001.054, and §6611.75 of this title (relating to Notice and Hearing); such notice shall also include the following language in capital letters in boldface type: FAILURE TO APPEAR AT THE HEARING WILL RESULT IN THE ALLEGATIONS AGAINST YOU SET OUT IN THE COMPLAINT BEING ADMITTED AS TRUE.
- (7) All contested case hearings will be conducted pursuant to the Board rules, the State Office of Administrative Hearings (SOAH) rules and the Administrative Procedures Act.
- (8) After conclusion of the hearing, SOAH will make a proposal for decision to be presented at a duly noticed Board meeting. At which time, the Board will act on the proposal for decision.

#### (f) Informal Settlement Conferences.

- (1) After the executive director has rendered a finding that a respondent violated the Act or Rules, the respondent may request an Informal Settlement Conference to present additional evidence or attempt to negotiate a settlement.
- (2) Members of the Informal Settlement Conference shall include one public board member, one registered or licensed board member, the executive director, the investigator, and others as deemed necessary.
- (3) The Settlement Conference shall be informal and need not follow the procedure established in the State Office of Administrative Hearings (SOAH) rules for contested cases. The respondent, his/her attorney and conference members may question witnesses, make relevant statements, present affidavits or statements of persons not in attendance, and may present such other evidence as may be appropriate.
- (4) At the conclusion of the informal settlement conference the complaint may be dismissed or an agreement may be reached regarding a recommendation to be made to the board at the next scheduled meeting or a formal hearing may be scheduled.
- (5) The board may order the respondent to pay restitution to a consumer; the amount may not exceed the amount the consumer paid for the service. The

board may not require payment of other damages or estimate harm in the restitution order.

- (g) Notice of Decision by Board. The board shall give notice of the board's order to the person charged. The notice must include:
  - (1) the findings of fact and conclusions of law separately stated;
  - (2) the amount of any administrative penalty imposed;
  - (3) a statement of the person's right to judicial review of the board's order; and
  - (4) other information required by law.
- (h) Options Following Decision: Pay or Appeal.
  - (1) Not later than the 30th day after the date the board's order becomes final as provided by Section 2001.144, Government Code, the person shall:
    - (A) pay the administrative penalty; or
    - (B) file a petition for judicial review contesting the fact of the violation, the amount of the penalty, or both.
  - (2) Within the 30-day period, a person who acts under subsection (a)(2) of this section may stay enforcement of the penalty by:
    - (A) forwarding the penalty to the board for placement in an escrow account;
    - (B) giving to the board a supersedeas bond in a form approved by the board that:
      - (i) is for the amount of the penalty; and
      - (ii) is effective until judicial review of the board's order is final; or
    - (C) filing with the board an affidavit of the person stating that the person is financially unable to forward the penalty for placement into an escrow account and is financially unable to give the supersedeas bond.
  - (3) Failure to take action under subsection (b) of this section within the time provided results in waiver of the right to judicial review.

- (i) Enforcement of Penalty. If the person does not pay the administrative penalty and the enforcement of the penalty is not stayed, the board may refer the matter to the Attorney General for enforcement.
- (j) Remittance of Penalty And Interest.
  - (1) If after judicial review the administrative penalty is reduced or not imposed by the court, the board shall:
    - (A) remit the appropriate amount, plus accrued interest, to the person if the person paid the penalty; or
    - (B) release the bond if the person gave a supersedeas bond.
  - (2) Interest accrues under subsection (a)(1) of this section at the rate charged on loans to depository institutions by the New York Federal Reserve Bank. The interest shall be paid for the period beginning on the date the penalty is paid and ending on the date the penalty is remitted.
- (k) Cost of Administrative Hearings.
  - (1) Default Judgments. In administrative penalty cases brought before the State Office of Administrative Hearings (SOAH), in the event that the Respondent/Licensee is adjudged guilty of an administrative violation by default, the board has the authority to assess, in addition to the penalty imposed, cost of the administrative hearing in an amount not to exceed Two Hundred (\$200) Dollars.
  - (2) Trial on the Merits. In administrative penalty cases brought before SOAH, in the event that the Respondent/Licensee is adjudged guilty of an administrative violation after a contested case trial on the merits, the board has the authority to assess, in addition to the penalty imposed, the actual costs of the administrative hearing. Such may include the costs of witnesses, costs of adjudication before SOAH, and any other costs that are necessary for the preparation of the board's case, including the cost of any transcriptions of testimony.

# §661.63 Frivolous Complaints.

- (a) Following a final decision of the board on a complaint, the license holder who was the subject of the complaint may submit a written request to the board that the complaint be found frivolous.
- (b) A written request should provide a reasoned justification showing that the complaint was made for the purpose of harassment and that the complaint does not demonstrate harm to any person.

- (c) The executive director and investigator shall review each written request that a complaint be found frivolous and recommend to the board whether the request should be granted or denied.
- (d) A complaint may be considered to have been made for the purpose of harassment if, among other things:
  - (1) the complaint is filed as a threatening, abusive, or retaliatory tactic;
  - (2) the complaint is filed as a litigation tactic;
  - (3) the complaint is politically motivated; or
  - (4) the complaint is based on allegations that are beyond the scope of the board's jurisdiction under the Act.
- (e) In evaluating whether a complaint is frivolous, when a complaint is filed or sworn to by a license holder, the board will take into account that all license holders are charged with knowledge of the Act and rules and with the professional and technical standards of land surveying.

# §661.64. Computation of Time.

- (a) Computing time. In computing any period of time prescribed or allowed by these rules, by order of the board, or by any applicable statute, the period shall begin on the day after the act, event, or default in controversy and conclude on the last day of such computed period, unless it be a Saturday, Sunday, or legal holiday, in which event the period runs until the end of the next day which is neither a Saturday, Sunday, nor a legal holiday.
- (b) Extensions. Unless otherwise provided by statute, the time for filing any pleading may be extended by order of the executive director, upon written motion duly filed prior to the expiration of the applicable period of time for the filing of the same, showing that there is good cause for such extension of time and that the need therefor is not caused by neglect, indifference, or lack of diligence of the movant.

# §661.65. Agreements To Be in Writing.

Stipulations or agreements between parties, their attorneys, or representatives, with regard to any matter involved in any proceeding before the board shall be reduced to writing and signed by the parties or their authorized representatives, or dictated into the record by them during the course of a hearing, or incorporated into an order bearing their written approval. This rule does not limit a person's ability to waive, modify, or stipulate any right or privilege afforded by these rules, unless precluded by law.

#### §661.67. Conduct and Decorum.

Every party, witness, attorney, or other representative shall comport himself in all proceedings with proper dignity, courtesy, and respect for the board, the executive director, and all other parties. Disorderly conduct will not be tolerated. Attorneys and other representatives of parties shall observe and practice the standards of ethical behavior prescribed for attorneys at law by the Texas State Bar.

## §661.68. Appearances Personally or by Representative.

A party may appear and be represented by an attorney at law authorized to practice law in the State of Texas.

#### §661.69. Motions.

Any motion relating to a pending proceeding shall, unless made during a hearing, be written, and shall set forth the relief sought and the specific reasons and grounds therefor.

#### §661.70. Amendments.

Any pleading may be amended upon motion filed at least three days before the hearing. The complaint upon which notice has been issued may be amended upon amended notice.

# §661.72. Motions for Postponement, Continuance, Withdrawal, Dismissal of Other Matters before the Agency.

- (a) Motions for postponement, continuance, withdrawal, or dismissal, or matters which have been duly set for hearing, shall be in writing, shall be filed with the executive director, and distributed to all interested persons under a certificate of service, no less than five days prior to the designated date that the matter is to be heard, except upon an unforeseen emergency. Such motion shall set forth, under oath, the specific grounds upon which the moving party seeks such action and shall make reference to all prior motions of the same nature filed in the same proceeding.
- (b) Failure to comply with subsection (a) of this section, except for good cause shown, may be construed as lack of diligence on the part of the moving party, and at the discretion of the executive director, may result in the dismissal of the matter in issue, with prejudice to refiling.

#### §661.73. Conduct of Hearings.

All hearings conducted in any proceeding shall be governed by the Open Meetings Act, Government Code, Chapter 551, Government Code, Chapter 552 and Title 2, Occupations Code, Chapter 53.

# §661.75. Notice and Hearing.

- (a) An applicant or licensee is entitled to at least 10 days notice of any hearing.
- (b) Notice of hearing for rejection, cancellation, or revocation may be served personally by the board or its authorized representative or sent by United States certified mail addressed to the applicant or licensee at his/her last known address.
- (c) In the event that notice cannot be effected by either of these methods after due diligence, the board may prescribe any reasonable method of notice calculated to inform a person of average intelligence and prudence in the conduct of his/her affairs. The board shall publish notice of a hearing in a newspaper of general circulation in the area in which the licensee conducts his/her business activities.

# §661.77. Dismissal without Hearing.

The executive director may entertain motions for informal disposition by stipulation, agreed settlement, consent order, or default, and make appropriate recommendations to the board for its decision.

#### §661.78. Rules of Evidence.

In all cases, irrelevant, immaterial, or unduly repetitious evidence shall be excluded. The rules of evidence as applied in nonjury civil cases in the district courts of this state shall be followed. When necessary to ascertain facts not reasonably susceptible of proof under those rules, evidence not admissible thereunder may be admitted, except where precluded by statute, if it is of a type commonly relied upon by reasonable prudent persons in the conduct of their affairs. The presiding board member shall give effect to the rules of privilege recognized by law. Objections to evidentiary offers may be made and shall be noted in the record. Subject to these requirements, if a hearing will be expedited and in the interest of the parties will not be prejudiced substantially, any part of the evidence may be received in written form.

#### §661.79. Documentary Evidence and Official Notice.

- (a) Documentary evidence may be received. When numerous documents are offered, the presiding member may limit those admitted to a number which are typical and representative, and may, at his/her discretion, require the abstracting of the relevant data from the documents and the presentation of the abstracts in the form of an exhibit; provided, however, that all parties of record or their representatives may be given the right to examine the documents from which such abstracts were made.
- (b) Official notice may be taken of all facts judicially recognizable. In addition, notice may be taken of generally recognized facts within the area of the board's specialized knowledge. All persons shall be notified either before or during the

hearing, or by reference in preliminary reports or otherwise, of the material officially noticed, including any staff memoranda or data, and they shall be afforded an opportunity to contest the material so noticed. The special skills or knowledge of the board and its staff may be utilized in evaluating the evidence.

# §661.80. Limitations on Number of Witnesses.

The presiding member shall have the right in any proceeding to limit:

- (1) the number of witnesses whose testimony is merely cumulative; and/or
- (2) the number of cumulative exhibits.

#### §661.82. Offer of Proof.

When testimony is excluded, the person offering such testimony shall be permitted to make an offer of proof by dictating or submitting in writing the substance of the proposed testimony, prior to the conclusion of the hearing, and such offer of proof shall be sufficient to preserve the point for review by the board. The board may ask such questions of the witness as it deems necessary to satisfy itself that the witness would testify as represented in the offer of proof. An alleged error in sustaining an objection to questions asked on cross-examination may be preserved without making an offer of proof.

# §661.83. Depositions.

The taking and use of depositions in any proceeding shall be governed by Title 2, Occupations Code, Chapter 53.

# **§661.84. Subpoenas.**

Subpoenas for the attendance of a witness from any place in the State of Texas at a hearing in a pending proceeding may be issued by the executive director in accordance with the Professional Land Surveying Practices Act.

#### §661.85. Oral Argument.

Any party may request oral argument prior to the final determination in any proceeding, but oral argument shall be allowed only in the sound discretion of the presiding member.

# §661.86. Final Decisions and Orders.

All final decisions, recommendations, and orders of the board shall be in writing and shall be signed by the Board Chair. A final decision shall include findings of fact and conclusions of law, separately stated. Findings of fact, if set forth in statutory language, shall be accompanied by concise and explicit statement of the underlying facts supporting

the findings. If, in accordance with agency rules, a party submits proposed findings of fact, the decision shall include a ruling on each proposed finding. Parties shall be notified either personally or by mail of any decision or order. A copy of the decision, recommendation, or order shall be delivered or mailed to the party and to his/her attorney of record.

# §661.87. Administrative Finality.

- (a) A decision is final in the absence of a timely motion for rehearing, and is final and appealable on the date of rendition or the order overruling the motion for rehearing, or on the date the motion is overruled by operation of law.
- (b) If the executive director finds that an imminent peril to the public health, safety, or welfare requires immediate effect of a final decision or order in a contested case, it shall recite the finding in the decision or order as well as the fact that the decision or order is final and effective on the date rendered, in which event the decision or order is final and appealable on the date rendered and no motion for rehearing is required as a prerequisite for appeal.

#### §661.88. Motion for Rehearing.

A motion for rehearing is a prerequisite to an appeal. A motion for rehearing must be filed by a party within 20 days after the date the party or his/her attorney of record is notified of the final decision or order. Replies to a motion for rehearing must be filed with the board within 30 days after the date the party or his/her attorney of record is notified.

#### **§661.91.** The Record.

- (a) The record of a hearing shall include:
  - (1) all pleadings, motions, and intermediate rulings;
  - (2) evidence received or considered:
  - (3) a statement of matters officially noticed;
  - (4) questions and offers of proof, objections, and rulings on them;
  - (5) proposed findings and exceptions;
  - (6) any decision, opinion, or report by the presiding member at the hearing; and
  - (7) all staff memoranda or data submitted to or considered by the board in making the decision.

- (b) Findings of fact shall be based exclusively on the evidence presented and matters officially noticed.
- (c) The agency may assess the cost of the transcript to one or more parties.

# §661.93. Appeals.

Appeals will be in accordance with The Act and Government Code, Chapter 552.

#### §661.97. Action in Another Jurisdiction.

Any disciplinary action taken in another jurisdiction on a matter which would constitute a violation of the Texas Professional Land Surveying Practices Act or Board rules shall be sufficient cause for disciplinary action by this Board. An authenticated copy of the order, adjudication, decision, or evidence of other final action by or on behalf of the regulatory authority in another jurisdiction which serves substantially the same function as the Board, shall be conclusive evidence of such violation, and shall be sufficient to support disciplinary action in this state.

# §661.99. Sanctions and Penalty Matrix.

The Board, the Executive Director, Investigator, Administrative Law Judge or the participants in an Informal Conference may arrive at a greater or lesser sanction and penalty than suggested in this Rule. The minimum administrative penalty is \$100 per violation. The maximum administrative penalty shall be \$1500 per violation. In addition to the sanctions and penalties noted below, the Board may order restitution, suspension, probation and/or additional educational courses. Allegations and disciplinary actions will be set forth in the final Board Order and the severity of the disciplinary action will be based on the following factors:

- (1) the seriousness of the violation, including the nature, circumstances, extent, and gravity of any prohibited acts;
- (2) the economic damage to property caused by the violation;
- (3) the history of previous violations;
- (4) the amount necessary to deter a future violation;
- (5) efforts to correct the violation; and
- (6) any other matter that justice may require.

Citation	Violation	Sanction on a First Offense
1071.251	Engaging in the practice of	
(b)	professional land surveying	
	without registration	
1071.251	Offering to practice professional	Injunction/1500
(c)	land surveying without	
	registration	
1071.251	Using a title or advertising a title	Injunction/1500
(d)	or description that tends to	
(u)	convey the impression that a non	
	registered/licensed person is a	
	professional land surveyor	
1071.261	•	Reprimand/100
(a)	or license at a person's place of	Reprintand/100
(a)	business or practice	
1071.263	The practice of professional	Revocation/1500
	surveying while license has been	Revocation/1500
(a)	placed on Inactive Status	
1071.351	Failure to secure an impression	Panrimand/100
		Reprintand/100
(b) 1071.351	seal (661.46)	David and /1500
	Application of name, seal or	Revocation/1500
(d)	certification to surveying work	
	that is not prepared by	
	registrant/licensee or full time	
	employee supervised by	
1071 251	registrant/licensee	D 1: /1500
1071.351	Allowing a non	Revocation/1500
(e)	registrant/licensee to exert	
1071 252	control over surveying work	T : /1500 B : 1/1500
1071.352	Offering surveying services with	Injunction/ 1500 Reprimand/1500
(a)	no RPLS employed full-time	
1071 252	where the services are offered	T : / /1500 B : 1/4500
1071.352	Failure of group practice to	Injunction/ 1500 Reprimand/1500
(b)	properly identify the registrant	
1071 272	responsible for the practice	D : 1/100
1071.353	Failure to file notice of assumed	Reprimand/100
	name	
1071.359	Failure to sign and notate	Reprimand/100
(a)	"Licensed State Land	
	Surveyor"(LSLS) on all LSLS	
	official field notes	
1071.359	Failure to conform LSLS field	Reprimand/100
(b)	notes and plats to specifications	
	contained in Section 21 of the	
	Natural Resources Code.	

Citation	Violation	Sanction on a First Offense
1071.360	Failure of the LSLS to notify	Reprimand/100
(1 & 2)	person who has undisclosed	1
,	public land enclosed and/or	
	forward a report of undisclosed	
	public land and the acreage to the	
	commissioner.	
1071.361	Failure to allow LSLS access to	Reprimand/1500
(a)	County Surveyor's records	
1071.361	Failure to comply with any	Reprimand/1500
(c)	regulations prescribed by the	
	county surveyor or the	
	commissioners court for	
	protecting and preserving the	
	records	
1071.401	Fraud or deceit in obtaining a	Revocation/1500
(a) (1)	certificate or license	
1071.401	Gross negligence, incompetence,	Revocation/1500
(a) (2)	or misconduct in the practice of	
	surveying	
1071.401	Violation of the Act or Board	Revocation/1500
(a) (3)	Rule	
1071.401	LSLS directly or indirectly	Revocation/1500
(b)	interested in the purchase or	
	acquisition of title to public land	
1071.504	Engaging or offering land	Injunction/1500
(1)	surveying services without being	
1071.504	registered/licensed	7 4 700 7 1 4 700
1071.504	Presents or attempts to use	Revocation/1500 Injunction/1500
(2)	another person's certificate,	
1071 704	license or seal	D (1500)
1071.504	Giving false or forged evidence	Kevocation/1500
(3)	to obtain or assist another in	
((1.45.45	obtaining a registration/license	Diamalification /1500
661.45 (f)	Actions to compromise the	Disqualification/1500
661.46	examination	Dansim an 4/100
661.46	Failure to secure an impression	Reprimand/100
	seal and submit impression to the	
661 50 (1-)	Board  Dragtising professional land	Payagation/1500
661.52 (b)	Practicing professional land	Revocation/1500
	surveying while on Inactive	
661 52 (a)	Status	Payagation/1500
661.52 (c)	Using seal while on Inactive	Revocation/1500
661 55 (a)	Status Failure to register firm	Injunction/1500
661.55 (a)	Failure to register firm	Injunction/1500
661.55 (b)	Failure of RPLS/LSLS to ensure	Reprimand/1500

Citation	Violation	Sanction on a First Offense
	firm registration	
661.55 (c)	Failure to notify the Board within	Reprimand/500
, ,	5 business days prior to leaving	•
	employment or no later than 24	
	hours after leaving employment	
661.55 (d)	Violation of this chapter	Revocation/1500
661.55 (f)	Failure of firm to have full-time	Revocation/1500
	RPLS employee	
661.57 (a)	Failure to register firm	Injunction/1500
661.57 (b)	Failure of firm to provide one	Firm-Injunction/1500
	full-time RPLS and for RPLS to	RPLS-Reprimand/1500
	supervise surveying work	-
661.57 (d)	Failure to file a current certificate	Injunction/1500
	of registration	
661.57 (e)	Failure to file or renew firm	Suspend/1500
, ,	registration	
661.57 (f)	Submitting fraudulent or	Revocation/1500
	misleading information or lack of	
	employee relationship with the	
	designated professional surveyor.	
661.57 (h)	For violations of the Board's Act	Refuse to Issue or Revoke
	or Rules	Registration/1500
661.60	Failure to respond to Board	RPLS-Reprimand/1500
	inquiries/orders	Firm-Suspend/1500
661.95	Failure to attend hearing	Default Judgment
663.1 (c)	Failure to notify the Board of any	Reprimand/100
	change of mailing address as it	_
	occurs	
663.1 (d)	Failure to notify consumers of	Reprimand/100
	the name, mailing address, and	
	phone number of the Board	
663.3 (1)	Failure to accurately and	Reprimand/100
	truthfully represent ones	
	capabilities and qualifications	
663.3 (2)	Performing services for which	Reprimand/100
	he/she is not qualified	
663.3 (3)	Evading statutory responsibility	Reprimand/100
	to client or employer	
663.4 (1)	Performing surveying services if	Reprimand/1500
	there exists any financial or other	
	interest that may be in conflict	
	with the obligation to render a	
	faithful discharge of such	
	services	
663.4 (4)	Failure to withdraw from	Reprimand/1500

Citation	Violation employment at any time during such employment or engagement	Sanction on a First Offense
	when it becomes apparent that it	
	is not possible to faithfully	
	discharge the duty and performance of services owned	
	the client or employer	
663.4 (5)	Accepting remuneration from	Reprimand/1500
	any party other than his/her client or employer for a particular	
	project nor have any other direct	
	or indirect financial interest in	
	other services or phase of service	
	to be provided for such project	
663.4 (6)	Failure to keep inviolate the	Reprimand/1500
	confidences of his/her client or	
((2.5	employer	D 1/1500
663.5	Failure to perform work with integrity, truthfulness and	Reprimand/1500
	integrity, truthfulness and accuracy. Misleading the public.	
663.5 (1)	Allowing a person who is not	Reprimand/1500
003.5 (1)	registered or licensed to exert	Teprinand 15 00
	control over professional work	
663.5 (2)	Indulging in publicity that is	Reprimand/100
	false, misleading or deceptive	
663.5 (3)	Misrepresenting the amount or	Reprimand/100
	extent of prior education or	
	experience to any employer, client, or the board	
663.5 (5)	Representing themselves as being	Reprimand/100
003.3 (3)	engaged in a partnership or	Reprintand/100
	association when no partnership	
	or association exists	
663.5 (6)	Recommend to a client services	Reprimand/100
	of another for the purpose of	
	collecting a fee for himself,	
	without the knowledge and	
663.6 (1)	consent of client  Failure to make known to the	Reprimand/100
003.0 (1)	board any unauthorized practice	Reprintand/100
	of which the registrant has	
	personal knowledge	
663.6 (2)	Failure to divulge any	Reprimand/100
	information, of which the	_
	registrant has personal	

Citation	Violation	Sanction on a First Offense
	knowledge, related to any	
	unauthorized practice to the	
	board upon request	
663.6 (3)	Delegate responsibility to, nor	Reprimand/1500
	aid or abet, an unauthorized	-
	person to practice or offer to	
	practice	
663.8 (1)	Failure to abide by and conform	Reprimand/1500
	to the registration and licensing	
	laws of the state	
663.8 (2)	Failure to abide by and conform	Reprimand/1500
	to the provisions of the state code	
	and all local codes and	
(1000 (1)	ordinances	7/1/700
663.8 (4)	Signing or impressing ones seal	Reprimand/1500
	or stamp upon documents not	
	prepared by him/her or	
	knowingly permit ones seal or	
	stamp to be used by any other	
663.8 (5)	person.  Submitting a request or a	Reprimand/100
003.8 (3)	Submitting a request or a competitive bid to perform	Reprintand/100
	professional surveying services	
	for a governmental entity or	
	political subdivision of the State	
	of Texas unless specifically	
	authorized by state law.	
663.9 (a)	Offering or promising to pay any	Reprimand/100
	commission, contribution, gift,	1
	favor, gratuity, or reward as an	
	inducement to secure any specific	
	work without full disclosure to	
	all interested parties.	
663.9 (b)	Making, publishing or cause to	Reprimand/100
	be made or published any	
	representation or statement	
	concerning ones professional	
	qualifications or those of his/her	
	partners or associates that is	
662 0 (a)	misleading.	Panrimand/1500
663.9 (c)	Failure to have personal	Reprimand/1500
	knowledge of documents, plats,	
	maps or reports that bear the	
663.10 (1)	surveyor's seal or signature.  Violating any provision of the	Reprimend/100
003.10(1)	violating any provision of the	Kepiillallu/100

Citation	Violation Act or Rules	Sanction on a First Offense
663.10 (2)	Circumventing or attempting to circumvent any provision of the Act or Rules	Reprimand/1500
663.10 (3)	Participate in any plan, scheme or arrangement attempting to or having as its purpose the evasion of any provision of the Act or Rules	Reprimand/1500
663.10 (4)	Failure to exercise reasonable care or diligence to prevent his/her partners, associates or employees from engaging in conduct which, if done by him/her, would violate any of the provisions of the Act or Rules	Reprimand/1500
663.10 (5)	Engaging in any conduct that discredits or attempts to discredit the profession of surveying	Reprimand/100
663.10 (6)	Permit or allow ones professional identification, seal, form, business name or service to be used or made use of to make it possible to create the opportunity for the unauthorized practice of professional surveying by any person, firm or corporation	Reprimand/1500
663.10 (7)	Allowing an omission or making an assertion or representation that is fraudulent, deceitful or misleading or tends to create a misleading impression	Reprimand/1500
663.10 (8)	Aid or abet any unlicensed person in connection with the authorized practice of professional surveying or any firm or corporation in the practice of professional surveying unless carried on in accordance with the Act.	
663.11	Failure to set or leave as found markers to represent or reference boundary corners, angle points and points of curvature or tangency. Failure to show and	Reprimand/1500

Citation	Violation	Sanction on a First Offense
	describe the locations of such	
	markers on the plat.	
663.11 (1)	Failure to reference and describe	Reprimand/1500
	the survey markers as shown on	
	the plat	
663.11 (2)	Failure to seal and sign the plat	Reprimand/1500
663.15 (a)	Failure to achieve a positional	Reprimand/1500
	tolerance of 1:10,000 + 0.10 feet	
	within the corporate city limits	
663.15 (b)	Failure to achieve a positional	Reprimand/1500
	tolerance of 1:7,500 +0.10 feet	
	within the extraterritorial	
	jurisdiction (ETJ) of any city	
663.15 (c)	Failure to achieve a positional	Reprimand/1500
	tolerance of 1:5,000 + 0.10 feet	
	in rural areas outside ETJ	
663.15 (d)	Failure to report areas to the least	Reprimand/100
	significant number compatible	
	with the precision of closure	
663.15 (e)	Failure to use equipment and	Reprimand/1500
	methods of practice capable of	
	attaining the tolerances specified	
663.16 (a)	Failure to delineate a property or	Reprimand/1500
	boundary line as an integral	
	portion of a survey. Failure to	
	respect junior/senior property	
	rights, footsteps of the original	
	surveyor, intent of the parties	
	involved, the proper application	
	of the rules of dignity or the	
	priority of calls, and applicable	
((0.15.0)	statutory and case law of Texas	D : 1/1500
663.16 (b)	Failure to rely upon appropriate	Reprimand/1500
	deeds and/or other documents	
	including those for adjoining	
	parcels, for the location of the	
	boundaries of the subject	
((2.15 ( )	parcel(s).	D : 1/1500
663.16 (c)	Failure to assume the	Reprimand/1500
	responsibility for such research	
	of adequate thoroughness to	
	support the determination of the	
	location of intended boundaries	
662 16 (3)	of the land parcel surveyed.	Daniman 4/1500
663.16 (d)	Failure to connect all boundaries	Reprimand/1500

Citation	Violation	Sanction on a First Offense
	to identifiable physical	Switches of W1 instrument
	monuments related to corners of	
	record dignity. In the absence of	
	such monumentation, failure to	
	report the surveyor's opinion of	
	the boundary location by other	
	•	
662 17 (a)	appropriate physical evidence.  Failure to set monuments at	Reprimand/1500
663.17 (a)		Reprinand/1500
	sufficient depths to retain a stable	
	and distinctive location or be of	
	sufficient size to withstand the	
	deteriorating forces of nature or	
	be of such material that in the	
	surveyor's judgment will best	
	achieve this goal.	
663.17 (b)	Failure to set, or leave as found,	Reprimand/1500
	sufficient, stable and reasonably	
	permanent survey markers to	
	represent or reference the	
	property or boundary corners,	
	angle points, and points of	
	curvature or tangency. Failure to	
	show and describe survey	
	markers with sufficient evidence	
	of the location of such markers	
	on the surveyors' plat.	
663.17	Failure to reference a description	Reprimand/1500
(b) (1)	of survey markers shown on the	
(0) (1)	plat, when written reports are	
	filed in compliance with 663.17	
	(b)	
663.17	Failure to apply seal and	Reprimand/100
(b) (2)	signature to written reports when	Topiniuna 100
(0) (2)	filed in compliance with 663.17	
	(b)	
663.17 (c)	Failure to tie easements to a	Reprimand/1500
003.17 (0)	physical monument of record	Кертинани/ 1300
	1 0	
	related to the boundary of the	
662 17 (d)	affected tract.	Danniman d/100
663.17 (d)	Failure to mark, in a way that is	Reprimand/100
	traceable, all monuments; when	
((2.10.())	practical.	D : 1/100
663.18 (a)	Failure to apply surveyor's seal	Reprimand/100
	to all documents representing	
	professional surveying	

Citation	Violation	Sanction on a First Offense
663.18 (c)	When preparing preliminary	Reprimand/1500
003.10 (0)	documents, failure to identify the	Tropilmana, 1500
	purpose of the document, the	
	surveyor of record and the	
	surveyor's registration number,	
	and the release date. Failure to	
	note the following statement in	
	the signature space: "Preliminary,	
	this document shall not be	
	recorded for any purpose."	
663.18 (d)	Failure to certify only to factual	Reprimand/1500
003.18 (u)	information that the surveyor has	Reprintand/1500
	•	
	personal knowledge of or to information within his	
662 10 (1)	professional expertise Failure to delineate the	Parrimand/1500
663.19 (1)		Reprimand/1500
	relationship between record monuments and the location of	
	boundaries surveyed. Failure to	
	show such relationship on the	
	survey plat, if a plat is prepared,	
	and/or separate report and failure	
	to recite such in the description	
	with the appropriate record	
(50 10 (0)	referenced thereon and therein.	7/1/700
663.19 (2)	Failure to provide a definite and	Reprimand/1500
	unambiguous identification of the	
	location of boundaries and	
	describe all pertinent monuments	
	found or placed for descriptions	
	prepared for defining boundaries.	
663.19 (3)	Failure to prepare the plat to a	Reprimand/1500
	convenient scale and provide a	
	definite and unambiguous	
	representation of the location of	
	the surveyed land according to its	
	record description.	
663.19 (3)	Where material discrepancies are	Reprimand/1500
(A) & (B)	found between the record and	
	conditions discovered, failure to	
	apprise the client with a specific	
	reference to discrepancy on the	
	plat or a report of survey or other	
	written notice.	
663.19 (4)	Failure to reference courses by	Reprimand/1500

Citation	Violation	Sanction on a First Offense
	notation upon the survey plat to	
	an identifiable line for directional	
((2.10.(5)	control.	D : 1/1500
663.19 (5)	Failure to note the firm name, surveyor's name, address, and	Reprimand/1500
	phone number of the land	
	surveyor responsible for the land	
	survey, his/her official seal,	
	his/her original signature and	
	date surveyed on the plat.	
663.19 (6)	Failure to note, upon the survey	Reprimand/1500
	plat, which boundary monuments	
	were found or placed by the surveyor and failure to note	
	controlling monuments to which	
	the survey may be referenced.	
663.19 (7)	Failure to cite a reference on the	Reprimand/1500
	plat to the record instrument that	
	defines the location of adjoining	
((2.10 (0)	boundaries.	D : 1/1500
663.19 (9)	If any report consists of more than one part, failure to note the	Reprimand/1500
	existence of the other part or	
	parts	
663.20	Failure of the registrant to notify	Reprimand/1500
(a)(1)	the Board in writing within 90	
	days of any felony or	
662.20	misdemeanor conviction	A 11 12 D 1 1 D
663.20	Failure of an applicant to state if he/she has ever been convicted of	Application Rejected Revocation
(a) (2)	a felony or misdemeanor	
663.20	Failure of the registrant/applicant	Application Rejected Revocation
(a) (3)	to provide a summary of the	J
	conviction in sufficient detail to	
	allow the Board to determine if it	
	is applicable to the practice of	
662 21 (1)	land surveying	Pansiman d/1500
663.21 (1)	Falsifying the recipient or purpose of a metes and bounds	Reprimand/1500
	description when preparing a	
	description for a Political	
	Subdivision	
663.21 (2)	Preparing a description for a	Reprimand/1500
	Political Subdivision that is	
	ambiguous and non-locatable on	

Citation	Violation	Sanction on a First Offense
	the ground by ordinary surveying	
	procedures	
663.21 (3)	Failure to place and describe	Reprimand/1500
	record monuments or physical	
	monuments called for in the	
	description prepared for a	
	Political Subdivision	
663.21 (4)	Failure to perform an on the	Reprimand/1500
	ground survey for any course and	
	distance recited in the description	
	when such is not referenced in a	
	recited record	
663.21 (5)	Failure to place the required	Reprimand/1500
	notation on descriptions prepared	
	for Political Subdivisions	
664.8	Failure to complete continuing	Immediate Suspension
	education requirement	

## §661.100. Probation Guidelines.

- (a) In addition to or in lieu of an action to revoke, suspend, reprimand, refuse to renew or assess a penalty the Board may initiate an action which will result in the affected registrant or licensee being placed on probationary status. The following factors may be considered in making a decision regarding probation:
  - (1) type and severity of violation;
  - (2) economic harm;
  - (3) history of violations;
  - (4) efforts to correct the violation;
  - (5) action premeditated or intentional;
  - (6) motive;
  - (7) attempted concealment of violation;
  - (8) the likelihood of future misconduct as shown by:

- (A) degree of remorse;
- (B) remedial procedures to prevent future violations; and
- (C) rehabilitative motivation or potential.
- (9) any other relevant circumstances or facts.
- (b) If the Board determines that probation is appropriate to deter future violations of the Act and Board Rules by the Respondent, probation shall be administered consistently under the following guidelines:
  - (1) for violations with greater potential to jeopardize public health, safety, welfare, or property, the term of the probation may not be less than one year or more than five years; and
  - (2) for violations with less potential to jeopardize public health, safety, welfare, or property, the term of the probation may not be less than six months or more than one year.
- (c) The Board may prescribe conditions of probation on a case-by-case basis depending on the severity of the violation that will include reporting requirements, restrictions on practice, site inspections, and/or continuing education requirements as applicable as described in this subsection. The Board reserves the right to reconsider the terms of probation based upon any extenuating circumstances.
- (d) The Board will determine the reporting requirements for each probation and will include a list of Board probation requirements and schedule for completion of those requirements in which the Board may require the license holder to submit documentation including, but not limited to, survey plats, client lists, job assignments, proof of continuing education participation, restricted practice reports, and other documents concerning the probation to demonstrate compliance with the conditions of probation. As a condition of probation, the license holder shall accept that schedule deadlines are final.
- (e) The Board will receive and date stamp documentation on the day received and track compliance with probation requirements for each probated suspension. The Board shall honor postmarks for date of submittal; however, if not received by the required deadline, the license holder shall have the burden of proof to demonstrate documentation was submitted by the schedule deadline.
- (f) As a condition of probation, the Board may require the license holder to obtain additional continuing education in addition to the minimum requirements of §664.3 of this title (relating to Numerical Requirements for Continuing

- Education) and may prescribe formal classroom study, workshops, seminars, and other specific forms of continuing education.
- (g) Failure to comply with probation requirements shall result in revocation of probation and reinstatement of the original sanction.

## §661.102. Alternative Dispute Resolution for Personnel and Contracting Matters.

- (a) It is the Board's policy to encourage the resolution and early settlement of all disputed matters, internal and external, through voluntary settlement procedures.
- (b) The executive director shall designate at least one employee of the Board to serve as the Board's alternative dispute resolution coordinator to:
  - (1) coordinate the implementation of the Board's alternative dispute resolution policies;
  - (2) serve as a resource for any training needed to implement the procedures for alternative dispute resolution; and
  - (3) collect data concerning the effectiveness of these procedures, as implemented by the Board.
- (c) The Board, a respondent, the executive director, or any other party involved in an internal or external disputed matter may request that the matter be resolved through any manner of alternative dispute resolution specified in Chapter 154, Civil Practice and Remedies Code, including mediation, arbitration, and moderated settlement conferences, or through the appointment of an ombudsman.
- (d) The allocation of the costs of alternative dispute resolution is subject to negotiation and agreement between the parties. The party who requests alternative dispute resolution may be liable for the cost of any third-party mediator, moderator, arbitrator, or ombudsman and shall otherwise bear her or his own cost arising from alternative dispute resolution.
- (e) Any resolution reached as a result of an alternative dispute resolution procedure is intended to be through the voluntary agreement of the parties. Any resolution that purports to bind the Board must be approved by the Board at a meeting subject to the Texas Open Meetings Act, Chapter 551, Government Code.
- (f) The Board is subject to the Texas Public Information Act, Chapter 552, Government Code. Any written record, communication, or other material is confidential only to the extent provided by law and subject to the exemptions provided in that Act.

## §661.104. Negotiated Rulemaking.

- (a) It is the Board's policy to encourage public input and employ negotiated rule making procedures in the Board's rule making process when appropriate. When the Board is of the opinion that proposed rules are likely to be complex, or controversial, or to affect disparate groups, negotiated rulemaking will be considered.
- (b) When negotiated rulemaking is to be considered, the Board may elect to develop a draft rule either through an informal process or through the formal process described in Chapter 2008, Government Code.
- (c) If the Board elects to use an informal process, the Executive Director shall identify persons likely to be affected and invite them to participate in a public process for development of a draft rule.
- (d) If the Board elects to use a formal process, the Board will appoint a convener to assist it in determining whether it is advisable to proceed. The convener shall have the duties described in Chapter 2008, Government Code, and shall make a recommendation to the Executive Director to proceed or to defer negotiated rulemaking. The recommendation shall be made after the convener, at a minimum, has considered all of the items enumerated in Government Code, §2008.052(c).
- (e) Upon the convener's recommendation to proceed, the department shall initiate negotiated rulemaking according to the provisions of Chapter 2008, Government Code.

# Standards of Responsibility and Rules of Conduct

#### **General Practice Standards**

#### §663.1. Ethical Standards.

(a) Inasmuch as the practice of the land surveying profession is essential to the orderly use of our physical environment, and inasmuch as the technical work resultant thereof has important effects on the welfare, property, economy, and security of the public, the practice should be conducted with the highest degree of moral and ethical standards. And inasmuch as the state legislature has vested in the board the authority, power, and duty to establish and enforce standards of conduct and ethics for professional surveyors and licensed state land surveyors to ensure compliance with and enforcement of the Texas Board of Professional Land Surveying, the following standards of responsibility and rules of conduct are hereby promulgated and adopted by the board.

- (b) So that every applicant for registration as a professional land surveyor or licensed state land surveyor shall be fully aware of the great obligation and responsibility due the public, the standards of responsibility are promulgated by the board. In furtherance of this intent, every registrant should endorse the standards of responsibility.
- (c) It is the responsibility of each registrant to notify the board of any change of mailing address as it occurs.
- (d) Each firm offering surveying services to the public shall notify consumers and service recipients of the name, mailing address, and telephone number of the board for the purpose of directing complaints to the board. This can be accomplished by:
  - (1) a sign prominently displayed in the place of business of each registrant offering professional land surveying services; or
  - (2) on a bill for professional land surveying service; or
  - (3) on each written contract for services.

### §663.2. Intent.

- (a) The intent of the sections in this chapter shall be:
  - (1) to create standards of responsibility as guidelines for the profession; and
  - (2) to create rules of conduct for governance of the profession; and
  - (3) to provide technical standards governing land boundary surveying.
- (b) The rules shall be binding on all registrants, but nothing contained therein shall be construed to supersede the statutory law of the state.
- (c) The board shall determine what acts constitute gross negligence, incompetency, misconduct, and violation of the rules and shall institute appropriate disciplinary action which may lead to reprimand, suspension, or revocation of the certificate of registration or certificate of licensure.

#### §663.3. Offer to Perform Services.

The client or employer is entitled to a careful and competent performance of services. Competence in performance of services requires the exercise of proficiency, reasonable care, and diligence. Therefore, every effort should be made to remain proficient in a field of endeavor, and employment for services to be rendered should not be accepted unless such services can be competently performed. The registrant:

- (1) shall accurately and truthfully represent to any prospective client or employer his/her capabilities and qualifications to perform the services to be rendered;
- shall not offer to perform, nor perform, services for which he/she is not qualified in any of the technical fields involved, by education or experience, without retaining the services of another who is so qualified;
- (3) shall not evade his/her statutory responsibility nor his/her responsibility to a client or employer.

## §663.4. Conflicts of Interests.

The acceptance of employment, or engagement to perform services, requires the faithful discharge of duty and performance of services, as well as the avoidance of any conflict of interests. All dealings with a client or employer, and all matters related thereto should be kept in the closest confidence. Should an unavoidable conflict of interest arise, the client or employer should be immediately informed of any and all circumstances which may hamper or impair the quality of the services to be rendered. The registrant:

- (1) shall not agree to perform services for a client or employer if there exists any significant financial or other interest that may be in conflict with the obligation to render a faithful discharge of such services, except with the full knowledge, approval, and consent of the client or employer and all other parties involved;
- (2) shall not continue to render such services without informing the client or employer, and all other parties involved, of any and all circumstances involved which may in any way affect the performance of such services, and then only with the full approval of the client or employer;
- (3) shall not perform, nor continue to perform services for a client or employer, if the existence of conflict of interest would impair independent judgment in rendering such services;
- (4) shall withdraw from employment at any time during such employment or engagement when it becomes apparent that it is not possible to faithfully discharge the duty and performance of services owed the client or employer;
- (5) shall not accept remuneration from any party other than his/her client or employer for a particular project nor have any other direct or indirect financial interest in other services or phase of service to be provided for such project, unless the client or employer has full knowledge and so approves;
- (6) shall keep inviolate the confidences of his/her client or employer, except as otherwise required in the rules of conduct.

#### §663.5. Representations.

The highest degree of integrity, truthfulness, and accuracy should be paramount in all dealings with, and representations to, others by not misleading in any way the other's understandings of personal qualifications or information regarding a project. The registrant:

- (1) shall not allow a person who is not registered or licensed under the Professional Land Surveying Practices Act to exert control over the end product of his/her professional work;
- (2) shall not indulge in publicity that is false, misleading, or deceptive;
- (3) shall not misrepresent the amount or extent of prior education or experience to any employer or client, nor to the board;
- (4) shall not hold out as being engaged in partnership or association with any person or firm unless there exists in fact a partnership or associations;
- (5) shall not, without the knowledge and consent of his/her client, recommend to a client services of another for the purpose of collecting a fee for himself for those services.

## §663.6. Unauthorized Practice.

All reasonable assistance in preventing the unauthorized practice of land surveying should be given the board. Unauthorized practice should not be aided in any way. The registrant:

- (1) shall make known to the board any unauthorized practice of which he/she has personal knowledge;
- (2) shall divulge to the board any information, of which he/she has personal knowledge, related to any unauthorized practice upon request of the board or its authorized representatives;
- shall not delegate responsibility to, nor in any way aid or abet, an unauthorized person to practice, or offer to practice.

#### §663.7. Maintenance of Standards.

Aid should be given the board in maintaining the highest standards of integrity and competence of those in its subject profession and occupation. The registrant:

- (1) may initiate a complaint or furnish the board with any information that comes into his/her possession, indicating that any person or firm has violated any of the provisions of the registration laws or code;
- (2) shall furnish any information he/she might have concerning any alleged violation of the registration laws or code upon request of the board or its authorized representatives.

#### §663.8. Adherence to Statutes and Codes.

Strict adherence to practice requirements of related sections of the statutes, the state code, and all local codes and ordinances should be maintained in all services rendered. The registrant:

- (1) shall abide by, and conform to, the registration and licensing laws of the state;
- (2) shall abide by, and conform to, the provisions of the state code and any local codes and ordinances not consistent with this Act. Any surveyor subdividing land into tracts subject to statutory requirements providing for an approval process by a governing body for such subdivision shall notify the individual whose intent it is to create the subdivision of the existence of the statutory requirements that pertain to and affect the development of the proposed subdivision prior to commencing the survey. It is recommended that this notification be in writing and a copy of which is maintained within the surveyor's permanent records.
- (3) shall not violate nor aid and abet another in violating a rule of conduct nor engage in any conduct that may adversely affect his/her fitness to practice;
- (4) shall not sign nor impress his/her seal or stamp upon documents not prepared by him/her or under his/her control or knowingly permit his/her seal or stamp to be used by any other person.
- (5) shall not submit or request, orally or in writing, a competitive bid to perform professional surveying services for a governmental entity or political subdivision of the State of Texas unless specifically authorized by state law.
  - (A) For purposes of this section, the board considers competitive bidding to perform professional surveying services to include the submission of any monetary cost information in the initial step of selecting qualified professional land surveyors. Cost information or other information from which cost can be derived must not be submitted until the second step of negotiating a contract.
  - (B) This section does not prohibit competitive bidding in the private sector.

#### §663.9. Professional Conduct.

- (a) The surveyor shall not offer or promise to pay or deliver, directly or indirectly, any commission, political contribution, gift, favor, gratuity, or reward as an inducement to secure any specific surveying work or assignment; provided, however, this rule shall not prevent a professional surveyor from offering or accepting referral fees or from discounting fees for services performed, with full disclosure to all interested parties. Further provided, however, a surveyor may pay a duly licensed employment agency its fee or commission for securing surveying employment in a salaried position.
- (b) The surveyor shall not make, publish, or cause to be made or published, any representation or statement concerning his/her professional qualifications or those of his/her partners, associates, firm, or organization which is in any way misleading, or tends to mislead the recipient thereof, or the public concerning his/her surveying education, experience, specialization, or any other surveying qualification.
- (c) The public shall be provided every reason for relying upon the surveyor's seals, signatures, or professional identification on all documents, plats or maps, surveyor's reports, plans, or other surveying data on which they appear as a representation that the surveyors whose seals, signatures, or professional identification appear thereon, have personal knowledge thereof and that they are professionally responsible therefor.

#### §663.10. Disciplinary Rules.

The land surveyor shall not:

- (1) violate any provision of the Professional Land Surveying Practices Act (the Act) or disciplinary rules thereof;
- (2) circumvent or attempt to circumvent any provision of the Act or disciplinary rules thereof through actions of another;
- (3) participate, directly or indirectly, in any plan, scheme, or arrangement attempting to or having as its purpose the evasion of any provision of the Act and disciplinary rules;
- (4) fail to exercise reasonable care or diligence to prevent his/her partners, associates, or employees from engaging in conduct which, if done by him, would violate any of the provisions of the Act or rules;
- (5) engage in any conduct that discredits or attempts to discredit the profession of surveying;

- (6) permit or allow any professional identification, seal, form, or business name, or service to be used or made use of, directly or indirectly, or any manner whatsoever, so as to make possible to create the opportunity for the unauthorized practice of professional surveying by any person, firm, or corporation in this state;
- (7) perform any acts, allow any omission, or make any assertions or representation which may be fraudulent, deceitful, or misleading, or which in any manner whatsoever, tend to create a misleading impression;
- (8) aid or abet, directly or indirectly, any unlicensed person in connection with the unauthorized practice of professional surveying or any firm or corporation in the practice of professional surveying unless carried on in accordance with the Act.

#### Professional and Technical Standards

#### §663.13. Introduction.

The Board establishes these minimum standards of practice to better serve the general public in regulating the practice of professional surveying in Texas. Professional surveying performed in Texas, unless otherwise specifically exempted herein, shall meet or exceed the requirements of these standards. The Board considers any survey, the purpose of which is to delineate, segregate, separate, or partition any interest in real property of any kind, under these standards.

#### §663.15. Precision.

- (a) The actual relative location of corner monuments found or set within the corporate limits of any cities in Texas shall be reported within a positional tolerance of 1:10,000 + 0.10 feet.
- (b) The actual relative location of corner monuments found or set within the extraterritorial jurisdiction (ETJ) of any cities in Texas shall be reported within a positional tolerance of 1:7,500+0.10 feet.
- (c) The actual relative location of corner monuments found or set in all rural areas outside extraterritorial jurisdiction areas of all cities in Texas shall be reported within a positional tolerance of 1:5,000 + 0.10 feet.
- (d) Areas, if reported, shall be produced, recited, and/or shown only to the least significant number compatible with the precision of closure.
- (e) Survey measurement shall be made with equipment and methods of practice capable of attaining the tolerances specified by these standards.

(f) Positional tolerance of any monument is the distance that any monument may be mislocated in relation to any other monument cited in the survey.

## §663.16. Boundary Construction.

- (a) When delineating a property or boundary line as an integral portion of a survey, the land surveyor shall respect junior/senior property rights, footsteps of the original land surveyor, the record, the intent as evidenced by the record, the proper application of the rules of dignity or the priority of calls, and applicable statutory and case law of Texas.
- (b) Appropriate deeds and/or other documents including those for adjoining parcels shall be relied upon for the location of the boundaries of the subject parcel(s).
- (c) A land surveyor assuming the responsibility of performing a land survey also assumes the responsibility for such research of adequate thoroughness to support the determination of the location of intended boundaries of the land parcel surveyed. The land surveyor may rely on record data related to the determination of boundaries furnished for the registrants' use by a qualified provider, provided the registrant reasonably believes such data to be sufficient and notes, references, or credits the documentation by which it is furnished.
- (d) All boundaries shall be connected to identifiable physical monuments related to corners of record dignity. In the absence of such monumentation the land surveyor's opinion of the boundary location shall be supported by other appropriate physical evidence which shall be explained in a land surveyor's report.

#### §663.17. Monumentation.

- (a) All monuments set by registered professional land surveyors shall be set at sufficient depth to retain a stable and distinctive location and be of sufficient size to withstand the deteriorating forces of nature and shall be of such material that in the surveyor's judgment will best achieve this goal.
- (b) When delineating a property or boundary line as an integral portion of a survey (survey being defined in the Act, §1071.002 (6) or (8)), the land surveyor shall set, or leave as found, sufficient, stable and reasonably permanent survey markers to represent or reference the property or boundary corners, angle points, and points of curvature or tangency.
  - (1) All survey markers shall be shown and described with sufficient evidence of the location of such markers on the land surveyors' plat. If the land surveyor shall prepare a written description of the surveyed premise, he/she shall include in that written description:

- (A) reference to and a description of the survey markers as shown on the plat; and
- (B) the seal and signature of a registered or licensed land surveyor.
- (2) In addition, the land surveyor may furnish an electronic copy of a written description provided that the text is verbatim to that on the certified document retained in the land surveyor's file.
- (c) All metes and bounds description prepared for easements shall be tied to physical monuments of record related to the boundary of the affected tract.
- (d) Where practical, all monuments set by Professional Land Surveyors to delineate or witness a boundary corner shall be marked in a way that is traceable to the responsible registrant or associated employer.

#### §663.18. Certification.

- (a) The registered professional land surveyor shall apply his/her seal to all documents representing professional surveying as defined in the Act.
- (b) If the land surveyor certifies, or otherwise indicates, that his/her product or service meets a standard of practice in addition to that promulgated by the Texas Board of Professional Land Surveying, then the failure to so meet both standards may be considered by the board, for disciplinary purposes, to be misleading the public.
- (c) Preliminary documents released from a land surveyor's control shall identify the purpose of the document, the land surveyor of record and the land surveyor's registration number, and the release date. Such preliminary documents shall not be signed or sealed and shall bear the following statement in the signature space: "Preliminary, this document shall not be recorded for any purpose." Preliminary documents released from the land surveyor's control which include this text in place of the land surveyor's signature need not comply with the other minimum standards promulgated in this chapter.
- (d) A land surveyor shall certify only to factual information that the land surveyor has personal knowledge of or to information within his professional expertise as a land surveyor unless otherwise qualified.
- (e) Registered professional land surveyors may certify, using the registrant's signature and official seal, services which are not within the definition of professional land surveying as defined in the Act, provided that such certification does not violate any Texas or federal law.

## §663.19. Plat/Description/Report.

For the purposes of these rules the word "report" shall mean any or all of the following survey plat, descriptions, or separate narratives.

- (1) All reports shall delineate the relationship between record monuments and the location of boundaries surveyed, such relationship shall be shown on the survey plat, if a plat is prepared, and/or separate report and recited in the description with the appropriate record references recited thereon and therein.
- (2) Every description prepared for the purpose of defining boundaries shall provide a definite and unambiguous identification of the location of such boundaries and shall describe all pertinent monuments found or placed.
- (3) Every survey plat prepared shall be to a convenient scale and shall provide a definite and unambiguous representation of the location of the surveyed land according to its record description. Where material discrepancies are found between the record and the conditions discovered, the surveyor shall apprise his/her client in the following manner.
  - (A) If a plat of survey is prepared, the land surveyor shall:
    - (i) make specific reference to the discrepancy on the plat of survey; or
    - (ii) make a general reference to the discrepancy on the plat of survey and a specific reference to a report of survey which more specifically describes the discrepancy.
  - (B) If a survey plat is not prepared, the land surveyor shall notify his/her client of any material discrepancy by report of survey or other written notice.
- (4) Courses shall be referenced to an existing physically monumented line for directional control or oriented to a valid published reference datum and shall be clearly noted upon any report, survey plat or other written instrument.
- (5) The survey plat shall bear the firm name, land surveyor's name, address, and phone number of the land surveyor responsible for the land survey, his/her official seal, his/her original signature (see §661.46 of this title (relating to Seal and Stamps)), and date surveyed.
- (6) Boundary monuments found or placed by the land surveyor shall be described upon the survey plat, including those controlling monuments to which the survey may be referenced. The land surveyor shall note upon the survey plat which monuments were found and which monuments were placed as a result of his/her survey.

- (7) A reference shall be cited on the plat to the record instrument that defines the location of adjoining boundaries. The cited instrument need not be the current ownership, but shall be the document containing the description of the boundaries being re-established.
- (8) When appropriate, reference shall be cited in the prepared description to the record instrument that defines the location of adjoining boundaries. The cited instrument need not be the current ownership, but shall be the document containing the description of the boundaries being re-established.
- (9) If any report consists of more than one part, each part shall note the existence of the other part or parts.
- (10) If a land surveyor provides a written narrative in lieu of a Plat/sketch/drawing to report the results of a survey, the written narrative shall contain sufficient information to demonstrate the survey was conducted in compliance with the Act and rules of the Board.

#### §663.20. Criminal Convictions.

- (a) Pursuant to Title 2, Occupations Code, Chapter 53, the following apply for registered professional land surveyors and applicants.
  - (1) The registrant shall notify the Board in writing within 90 days of any conviction of any crime under the laws of the "United States, or any state, territory or country thereof, which is a felony or a misdemeanor, whether related to the practice of surveying or not.
  - (2) The applicant will be required to state on a form provided by the board, whether he or she has ever been convicted of a felony or a misdemeanor.
  - (3) Registrants or applicants are required to provide a summary of the conviction in sufficient detail to allow the Board to determine if it is applicable to the practice of professional land surveying or application for registration.
  - (4) If the Board determines the conviction is applicable, the Board staff will obtain sufficient details of the conviction to allow the Board to determine the effect of the conviction on the registrant's practice of surveying or the applicant's eligibility for registration.
- (b) In determining whether a criminal conviction is applicable to a registrant's surveying practice or an applicant's application, the Board will consider the following:
  - (1) the nature and seriousness of the crime;

- (2) the relationship of the crime to the purposes for practicing surveying;
- (3) the extent to which a registrant might offer an opportunity to engage in further criminal activity of the same type as that which the individual had been previously involved; and
- (4) the relationship of the crime to the ability, capacity, or fitness required to perform the duties and discharge the responsibilities of a professional land surveyor.
- (c) In addition to the factors that may be considered under subsection (b) of this section, the Board shall consider the following:
  - (1) extent and nature of the individual's past criminal activity;
  - (2) the age of the individual at the time the crime was committed, and the amount of time that has elapsed since the last criminal activity;
  - (3) the conduct and work activity of the individual prior to the following the criminal activity;
  - (4) evidence of rehabilitation; and
  - (5) other evidence of fitness to practice as a professional land surveyor.
- (d) Crimes relating to the practice of surveying include, but are not limited to the following:
  - (1) criminal negligence in the practice of surveying;
  - (2) soliciting, offering, giving or receiving any form of bribe in the practice of surveying;
  - (3) the unauthorized use of property, funds or proprietary information belonging to another in the practice of surveying;
  - (4) acts relating to the acquisition, use or dissemination of confidential information related to surveying; and
  - (5) any violation as an individual or as a consenting party of any provision of the Professional Land Surveying Practices Act (Title 6, Occupations Code, Subtitle C).

- (e) The application of any applicant deemed ineligible for registration because of a prior criminal conviction will be proposed for rejection and the applicant will be provided the following information in writing:
  - (1) the reason for rejecting the application;
  - (2) notice of the administrative procedure used to conduct an informal conference and contested case hearing to show compliance with all requirements of the law for registration as a professional surveyor; and
  - (3) notice that upon exhausting of the administrative appeal, an action may be filed in a district court of Travis County for review of the evidence presented to the Board and its decision. The person must begin the judicial review by filing a petition with the court within 30 days after the Board's decision is final.
- (f) The Board shall revoke the certificate of registration of any registrant incarcerated or jailed as a result of conviction for a felony. The certificate of registration of any registrant shall also be revoked for felony probation revocation, revocation of parole, or revocation of mandatory supervision regardless of the date of the original conviction.
- (g) The Board may revoke the certificate of registration of any registrant convicted of a misdemeanor or a felony if the crime directly relates to the duties and responsibilities as a professional surveyor.
  - (1) Any registrant whose certificate of registration has been revoked under the provisions of this subsection will be advised in writing of the right to apply for registration. The application criteria are established in subsections (b) and (c) of this section.
  - (2) Any registrant whose certificate of registration has been revoked under the provisions of this subsection and who has exhausted administrative appeals, may file an action in a district court of Travis County for review of the evidence presented to the Board and its decision. The person must begin the judicial review by filing a petition with the court within 30 days after the Board's decision or the decision is not subject to appeal.
- (h) A person is convicted when an adjudication of guilt on an offense is entered against that person by a court of competent jurisdiction whether or not:
  - (1) the sentence is subsequently probated and the person is discharged from probation or community supervision; or
  - (2) the accusation, complaint, information or indictment against the person is dismissed and the person is released from all penalties and disabilities

resulting from the offense.

- (i) Imposition of deferred adjudication community supervision is not a conviction.
- Persons enrolled or planning to enroll in an educational program in preparation (i) for applying to become a Registered Professional Land Surveyor may request a history evaluation to determine their eligibility for registration. It is the responsibility of the petitioner to obtain and send to the Board for each criminal offense in his or her criminal history (the entire court record), including final court orders noting sentencing information, conditions of probation, revocation of or release from probation, and any other information relating to the petitioner's criminal history, or requested by the Board, along with any recommendations of the prosecution, and/or law enforcement and/or correctional authorities regarding the offense(s). The petitioner shall also furnish documentation acceptable to the Board of prior/current employment status, evidence of court-ordered and/or voluntary rehabilitation, evidence of good conduct in their community, and evidence of payment of all outstanding court costs, supervision fees, fines, and restitution as ordered in the criminal cases in which they have been convicted, placed on deferred adjudication, community supervision and/or deferred disposition. The petitioner shall submit a fee of \$50 for the purpose of responding to the request.

#### §663.21. Descriptions Prepared for Political Subdivisions.

A registrant or licensee may prepare, sign, and seal a metes and bounds description from public land title records upon satisfying all of the following minimum conditions:

- (1) The description is prepared for a political subdivision of the State (which is defined as a county, city, district, or other body politic of the State having a jurisdiction over only a portion of the State) for the sole purpose of defining or modifying the boundaries of the political subdivision.
- (2) The description must be unambiguous and locatable on the ground by ordinary surveying procedures;
- (3) Any record monument or physical monumentation called for in the description must be in place at the time the surveyor prepares the description and the surveyor must have personal knowledge of such monument sufficient to give a proper current description for the monument and its accessories;
- (4) The surveyor signing the work must have performed an on the ground survey to support any course and distance recited in the description, except that the description may quote courses and distances from recorded documents (such as deeds) as long as the recording reference for any recited document is also quoted in the description; and

(5) Any survey document prepared under this rule shall bear a note as follows: "This document was prepared under 22 TAC §663.21, does not reflect the results of an on the ground survey, and is not to be used to convey or establish interests in real property except those rights and interests implied or established by the creation or reconfiguration of the boundary of the political subdivision for which it was prepared."

## **Continuing Education**

## §664.1. Purpose.

The purpose of the sections in this chapter is the establishment of continuing professional education course or professional development activity requirements, which a registrant must complete periodically for the renewal of the certificate of registration.

### §664.2. Deadlines.

Continuing education requirements for renewal shall be fulfilled during annual periods beginning on the first day of a registrant's renewal year and ending on the last day of the registrant's renewal year.

## §664.3. Numerical Requirements for Continuing Education.

Beginning January 2011, a registrant, to be eligible for renewal of the certificate of registration, must accrue at least twelve (12) hours of completed board approved professional development activities during the immediate preceding twelve months in any annual period. Beginning January 2011 and every year thereafter, a minimum of three (3) of the twelve (12) hours shall be in board developed or approved hours on the Act, Rules, and/or ethics.

#### §664.4. Types of Acceptable Continuing Education.

Continuing education courses and professional development undertaken by a registrant shall be acceptable if the activity is approved by the board and falls in one or more of the following categories:

- (1) appointment and membership on the board;
- (2) completion of undergraduate or graduate academic courses with a passing grade in areas supporting development of skill and competence in professional land surveying at an institution which is accredited by ABET, Southern Association of Colleges and Schools or an equivalent;

- (3) teaching or consultation in programs such as institutes, seminars, workshops which provide increased professional knowledge related to the practice of professional land surveying;
- (4) participation in those sections of programs (e.g., institutes, seminars, workshops, and conferences) which provide increased professional knowledge related to the practice of professional land surveying and are conducted by persons qualified within their respective professions by appropriate state licensure or certification where state licensure or certification exists, or in states outside of Texas where licensure or certification does not exist by completion of a graduate degree and certification by their respective professional associations;
- (5) author of a technical paper relating to professional land surveying published in a board approved publication;
- (6) appointment to and active participation by non-board members on a committee of the board;
- (7) satisfactory completion of scheduled assignments in a correspondence course;
- (8) meetings and activities such as inservice programs which are required as a part of one's job; and have been approved by the board.
- (9) a maximum of four (4) hours of self-directed study in a topic related to the practice of surveying.

## §664.5. Procedure for Course Approval.

Individuals and organizations may initiate requests for board approval and credits of specific programs for continuing education credit before these programs occur. Approval shall be given only for the specific program described in the request.

- (1) An approved sponsor is responsible for providing or arranging information necessary for verification of attendance at continuing education activities. Information provided must include the approved course number and date.
- (2) The registrant is responsible for compiling information necessary for the board to make a determination of the applicability of programs not previously approved by the Board.
- (3) Sponsors may initiate their own requests and may, when approval is obtained in advance, announce such approval in connection with the continuing education experience utilizing statements prescribed by the board.
- (4) Programs preapproved by registration boards of other jurisdictions will be accepted by this board at the same continuing education unit value assigned by

the other board unless such program has been specifically disallowed by this board.

## §664.6. Reporting and Record Keeping.

The registrant shall complete the application for renewal of a license with the required fee and declaration that he or she has obtained the required continuing education. Maintaining records to be used to support continuing education claimed in the event of an audit is the responsibility of the registrant.

#### §664.7. Review and Audit Process.

For each annual renewal period, the Board shall select, on a random basis, not less than five (5) percent of renewal applications for audit. The Board shall request each selected registrant to furnish a Continuing Education Log, on the form provided by the Board, chronicling the continuing education activities for the preceding year. The registrant must also furnish verification of attendance at the listed activities on the Board's Continuing Education Log. Upon receipt of the requested records, the Board may request the registrant to furnish further evidence necessary to satisfy the Board that the registrant has complied with the continuing education requirements of this rule.

If, through a complaint process, a violation of the Board's Rules or the Act is found the registrant will be subject to an audit as described above.

## §664.8. Failure to Complete Required Continuing Education.

Failure to complete the continuing education requirements is a violation of Board rules and is subject to administrative penalties. If, after an audit is performed, it is determined that a registrant failed to complete all requirements for renewal of the certification of registration the registrant's license is suspended immediately upon the determination. The registrant's license shall be renewed upon submission of the required and approved continuing education report, payment of required late renewal fees, completion of required affidavits, and payment of any additional administrative penalties. The registrant will have 90 days after notification of license suspension to complete the required continuing education to avoid forfeiture of license. The ending dates of a registrant's subsequent annual continuing education cycles under §664.2 of this title (relating to Deadlines) are not changed or extended when a registrant did not meet continuing education requirements in any previous period(s).

## §664.9. Acceptable Carry-over Continuing Education Units/Hours.

If a registrant exceeds the annual requirement in any renewal period, a maximum of 8 continuing education units/hours may be carried forward into the subsequent renewal period.

## §664.10. Exemptions.

A Registrant may be exempt from the professional development educational requirements for one of the following reasons:

- (1) New Registrant by way of examination shall be exempt for their first renewal period.
- (2) A license holder serving on active duty and deployed outside Texas in or for the military service of the United States for a period of time exceeding one hundred twenty (120) consecutive days in a year shall be exempt from obtaining the professional development hours required during that year.
- (3) Registrants who list their status as "Inactive."

## **Examination Advisory Committees**

#### §665.1. Introduction.

The Board shall establish examination advisory committees for the purpose of developing and scoring examinations. Committees will be established to write exam questions, review selected exams for accuracy and resolution time and determine examination scores. Advisory committees will be responsible for developing and scoring examinations that will ensure a registrant's ability to protect the public safety, welfare and property. The goal of the committees will be to insure that only competent candidates pass the examination.

The committees are established under the Professional Land Surveying Practices Act, Section 1071.552 which allows the Board to establish advisory committees. Except as provided by Section 1071.555 the committees are subject to Government Code, Chapter 2110, concerning state agency advisory committees. The committee shall carry out any other tasks given to the committees by the board.

## §665.2. Size, Quorum and Qualifications.

- (a) Each committee shall be composed of an odd number of not less than 9 members from as varied geographic and practice areas as possible, committees will contain a minimum of:
  - (1) two members who have been registered less than seven years; and
  - (2) two members who have been registered between 7 and 15 years; and
  - (3) five members who have been registered more than 15 years.
- (b) A majority of the membership of each committee constitutes a quorum.

- (c) Existing members shall continue to serve until the board appoints members under the new composition.
- (d) It is grounds for removal from the committee if a member cannot discharge the member's duties for a substantial part of the term for which the member is appointed because of illness or disability, is absent from more than half of the committee and subcommittee meetings during a calendar year, is absent from at least three consecutive committee meetings or is found to have practiced in violation of the Professional Land Surveying Practices Act and/or Rules of the Board. The committee chair will notify the board of such vacancies.
- (e) Committee members must be currently registered and familiar with requirements for and capabilities of candidates who are minimally qualified to practice.

## §665.3. Process of Appointment.

The Board will appoint advisory committee members pursuant to the qualifications listed in this Section. All appointments made under this Section shall be made without regard to race, creed, sex, religion or national origin. A member of the committee may be appointed to succeed him or herself, except that no member shall be eligible to serve more than two consecutive terms.

#### §665.4. Terms of Office.

- (a) The term of office of each member shall be six years. Members shall serve after expiration of their terms until a replacement is appointed.
- (b) Members shall be appointed for staggered terms so that the terms of an equivalent number of members will expire on August 31st of each even-numbered year.
- (c) If a vacancy occurs, a person shall be appointed to serve the unexpired portion of that term.
- (d) The chair of the board shall appoint a chair and vice chair of each committee. Each officer may holdover until his or her replacement is appointed by the chair of the board.
- (e) The advisory committee chair shall preside at all committee meetings at which he or she is in attendance, call meetings in accordance with this section, appoint subcommittees of the committee as necessary, and cause proper reports to be made to the board. The presiding officer may serve as an ex-officio member of any subcommittee of the committee.
- (f) The advisory committee vice chair shall perform the duties of the chair in case of the absence or disability of the presiding officer. In case the office of chair

becomes vacant, the vice chair will serve until a successor is appointed to complete the unexpired portion of the term.

- (g) Members shall attend committee meetings as scheduled. Members shall attend meetings of subcommittees to which the member is assigned. A member shall notify the presiding officer or appropriate board staff if he or she is unable to attend a scheduled meeting.
- (h) It is grounds for removal from the committee if a member cannot discharge the member's duties for a substantial part of the term for which the member is appointed because of illness or disability, is absent from more than half of the committee and subcommittee meetings during a calendar year, or is absent from at least three consecutive committee meetings.
- (i) The validity of an action of the committee is not affected by the fact that it is taken when a ground for removal of a member exists.
- (j) Staff support for the committee shall be provided by the board.
- (k) Any action taken by the committee must be approved by a majority vote of the members present once quorum is established.
- (1) Each member shall have one vote.
- (m) The committee may establish subcommittees as necessary to assist the committee in carrying out its duties. The chair shall appoint members of the committee to serve on subcommittees and to act as subcommittee chairs. Subcommittees shall meet when called by the subcommittee chair or when so directed by the committee.

## §665.5. Non-binding statements.

The board and the committee shall not be bound in any way by any statement or action on the part of any committee member except when a statement or action is in pursuit of specific instructions from the board or committee.

## §665.6. Reimbursement for expenses.

In accordance with the requirements set forth in the Government Code, Chapter 2110, a committee member may receive reimbursement for the member's expenses incurred for each day the member engages in official committee business if authorized by the General Appropriations Act or budget execution process.

(1) A committee member who is an employee of a state agency may not receive reimbursement for expenses from the board.

- (2) Each member who is to be reimbursed for expenses shall submit to staff the member's receipts for expenses and any required official forms no later than 14 days after each committee meeting.
- (3) Requests for reimbursement of expenses shall be made on official state travel vouchers prepared by board staff.

## **§665.7.** Training.

A person who is appointed to an advisory committee may not vote, deliberate, or be counted as a member until the person has received and reviewed the following:

- (1) The Professional Land Surveying Practices Act and Rules of the Board; and
- (2) Test Blueprints; and
- (3) Item Writers Guide.

## §665.8. Examination Process and Board's Interaction.

The board will select examinations using blueprints developed and approved by the board.

- (1) Committees will be appointed to:
  - (A) write examination questions based on content areas defined in the blueprint,
  - (B) review examinations before administration for accuracy and resolution time,
  - (C) determine cut off scores; and
  - (D) for any other purposes determined necessary by the board.
- (2) Members of the Board may serve as liaison members to each committee. Each committee chair will either appoint or serve as a liaison to other committees as necessary to facilitate communication between committees.

## §665.9. Continuing Education credit.

Examination committee members are eligible to receive continuing education credit for time served in the commission of their duties and documented on forms signed by the chair of the committee and executive director of the board.

#### Revised 08-11